

**UNIVERSIDADE ESTADUAL DE MONTES CLAROS**

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**Modelos de associação entre depressão e funções cognitivas: uma via  
bidirecional**

**Montes Claros – Minas Gerais  
2021**

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**Modelos de associação entre depressão e funções cognitivas: uma vida bidirecional**

**Dissertação para Mestrado Acadêmico apresentada ao Programa de Pós-graduação em Ciências em Saúde (PPGCS) da Universidade Estadual de Montes Claros (Unimontes), como parte das exigências para a obtenção do título de Mestre em Ciências da Saúde.**

**Área de Concentração: Mecanismos e aspectos clínicos das doenças**

**Orientador: Dr. Renato Sobral Monteiro-Junior**

**Coorientador: Dr. Frederico Sander Mansur Machado**

F314m Felício, Laís Francielle Francisca.  
Modelos de associação entre depressão e funções cognitivas [manuscrito] :  
uma via bidirecional / Laís Francielle Francisca Felício. – Montes Claros, 2021.  
96 f. : il.

Inclui Bibliografia.  
Dissertação (mestrado) - Universidade Estadual de Montes Claros -  
Unimontes, Programa de Pós-Graduação em Ciências da Saúde/PPGCS, 2021.

Orientador: Prof. Dr. Renato Sobral Monteiro-Júnior.  
Coorientador: Prof. Dr. Frederico Sander Mansur Machado.

1. Idosos - Sintomas depressivos. 2. Cognição. 3. Institucionalização. 4.  
Desempenho físico. I. Monteiro-Júnior, Renato Sobral. II. Machado, Frederico  
Sander Mansur. III. Universidade Estadual de Montes Claros. IV. Título. V.  
Título: Uma via bidirecional.

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APROVADO

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Às mais de 400 mil vítimas do Coronavírus e do descaso.

## AGRADECIMENTOS

Agradeço primeiramente o privilégio de ingressar em um Programa de Pós-Graduação de excelência e à Coordenação de Aperfeiçoamento de Pessoal de Nível Superior pelo custeio da bolsa de estudos que me foi fornecida. Aos participantes da pesquisa que se dispuseram a contribuir com o avanço científico. Em seguida, agradeço ao meu grupo de pesquisa GENESEs, responsável pela minha integração na ciência e pela acolhida que me fez ter mais do que colegas: amigos que levarei pela vida. Em especial agradeço ao meu orientador Renato, pela paciência com meu processo de aprendizagem e pelo nível de exigência que sempre me fez querer ofertar o meu melhor, sendo pra mim uma fonte de grande estima e admiração. Ao meu coorientador Frederico, pela presteza em contribuir e pela gentileza que sempre carrega em suas palavras. À minha família que sempre buscou se inteirar dos meus dilemas acadêmicos, me dar todo o suporte necessário e compartilhar comigo as minhas conquistas. Aos meus novos amigos feitos nesse percurso e que dividiram comigo momentos de angústia e de vitórias: Amanda, Eric e Luana. E aos meus amigos já tão antigos que diversas vezes me acolheram e compreenderam minhas etapas e momentos. Por fim, agradeço ao meu parceiro Bruno por ser fonte de amor e cuidado e pelas diversas vezes que me ofertou braços pra ajudar e para acalantar. A todos que participaram de algum modo deixo meus agradecimentos mais honestos e inestimáveis.

Humildade como técnica é o seguinte: só se aproximando com humildade da coisa é que ela não escapa totalmente. Descobri este tipo de humildade, o que não deixa de ser uma forma engraçada de orgulho. Orgulho não é pecado, pelo menos não tão grave: orgulho é coisa infantil em que se cai como se cai em gulodice. Só que orgulho tem a enorme desvantagem de ser um erro grave, e, com todo o atraso que o erro dá à vida, faz perder muito tempo<sup>1</sup>.

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<sup>1</sup> LISPECTOR, Clarice. *A descoberta do mundo*. Editora Rocco - Rio de Janeiro, 1999.



## RESUMO

Este trabalho teve como objetivo apresentar sob diferentes óticas modelos de associação entre a depressão e as funções cognitivas. Para isso, dois trabalhos foram desenvolvidos: o primeiro visou determinar o nível de associação entre os sintomas depressivos e habilidades cognitivas de idosos institucionalizados, e o segundo aborda uma hipótese neurobiológica de que a interação entre depressão e declínio cognitivo é mediada por corticosteroides, gerando um *downregulation* no BDNF. Em relação ao método, o primeiro estudo é de caráter transversal e contou com 69 idosos vivendo em Instituições de Longa Permanência para Idosos (ILPIs), com rastreamento de seus sintomas depressivos e sua performance física e cognitiva investigadas através de testes e escalas específicas. Assim, a Escala de Depressão Geriátrica (GDS) de 30 itens foi usada para categorizar os grupos entre aqueles com sintomas depressivos (score de 10 ou mais) e os assintomáticos. Sobre os testes cognitivos: O Mini Exame do Estado Mental (MEEM) foi usado para quantificar a cognição global, contendo 11 itens que avaliam domínios cognitivos como: orientação, memória, cálculo, linguagem e praxia. O teste de Fluência Verbal (FV) foi usado para avaliar a fluência semântica e a função executiva através do número máximo de evocação de nomes de animais em 60 segundos. O Digit Span Forward (DSF) e o Digit Span Backward (DSB) foram usados para avaliar a memória de curto prazo e memória de trabalho, respectivamente. O DSF se trata da repetição de uma sequência numérica na mesma ordem dita e o DSB na ordem inversa. Sobre as avaliações físicas, foram usados o Teste de Marcha Estacionária de 2 minutos (TME2') e o Teste Sentar e Levantar (TSL). O primeiro avalia a resistência aeróbia do sujeito por meio do número máximo de passos completados em dois minutos. No segundo teste o sujeito deve sentar e levantar continuamente por 30 segundos, para estimar a resistência e a força dos membros inferiores. O segundo estudo traz como método a análise *in silico*, por meio da inserção de genes no banco de dados GeneCards, para explorar os genes que codificam proteínas associadas com a depressão e o declínio cognitivo. As siglas dos genes foram inseridas na base de dados de bioinformática String, para visualização da interação em rede. Entre os resultados, no primeiro estudo foi evidenciado que os idosos com pior performance física e cognitiva exibiram a presença de sintomas depressivos, sendo que aqueles com maior déficit na memória de curto prazo mostraram quase 5 vezes mais chances de apresentarem sintomas depressivos (OR=4.86; IC= 1,09;21,69). Na análise *in silico*, a rede de interações entre os genes sugeriu a associação entre depressão e declínio cognitivo mediados por fator inflamatório (TNF- $\alpha$ ) associado aos precursores de hormônios glicocorticoides (POMC e CRH). Desse modo, ressalta-se a importância de entender melhor como a associação entre depressão e declínio cognitivo acontece, uma vez que tais mecanismos se retroalimentam e podem ser melhor explicados por um modelo integral que contemple as dimensões biológicas e psicológicas, a fim de proporcionar intervenções mais eficientes e que abordem o problema de modo holístico.

Palavras-chave: idosos; sintomas depressivos; cognição; institucionalização; desempenho físico.

## ABSTRACT

This study aimed to present, under different perspectives, models of association between depression and cognitive functions. For this, two studies were developed: the first aimed to determine the level of association between depressive symptoms and the cognitive abilities of institutionalized older persons, and the second approaches a neurobiological hypothesis that the interaction between depression and cognitive decline is mediated by corticosteroids, generating a downregulation in the BDNF. Regarding the method, the first study is cross-sectional and involved 69 older adults living in Long-Term Care Facilities for the older persons (LTCFs), with tracking of their depressive symptoms and their physical and cognitive performance, investigated through tests and specific scales. Thus, the Geriatric Depression Scale (GDS) of 30 items was used to categorize the groups between those with depressive symptoms (score of 10 or more) and asymptomatic ones. About cognitive tests: The Mini Mental State Examination (MMSE) was used to quantify global cognition, containing 11 items that access cognitive domains such as: orientation, memory, calculation, language and praxis. The Verbal Fluency (VF) test was used to access semantic fluency and executive function through the maximum number of animal name recalls in 60 seconds. Digit Span Forward (DSF) and Digit Span Backward (DSB) were used to access short-term and working memory respectively. DSF is a repetition of a numerical sequence in the same order and DSB in reverse order. On the physical evaluations, the 2-minute Stationary Walking Test (2MST) and the Sit-to-Stand Test were used. The first one evaluates the subject's aerobic resistance by means of the maximum number of steps completed in two minutes. In the second test, the subject must sit and stand continuously for 30 seconds to estimate the functional capacity of the lower limbs. The second study uses *in silico* analysis as a method of inserting genes into the GeneCards database to explore the genes encoding proteins associated with depression and cognitive decline, in which these genes were inserted into the String bioinformatics database. for viewing network interaction. Among the results, in the first study, it was evidenced that the older adults with worse physical and cognitive performance exhibited the presence of depressive symptoms, and those with a greater deficit in short-term memory were 5 times more likely to have depressive symptoms (OR = 4.86; CI = 1.09; 21.69). In the *silico* analysis, the network of interactions between the genes suggested the association between depression and cognitive decline mediated by inflammatory factor (TNF- $\alpha$ ) associated with precursors of glucocorticoid hormones (POMC and CRH). In this way, the importance of better understanding how the association between depression and cognitive decline occurs, since these mechanisms feedback each other and can be better explained by an integral model that contemplates the biological and psychological dimensions, in order to provide more efficient interventions that address the problem holistically.

Keywords: Aged; cognition; depressive symptoms; institutionalization; physical performance

## LISTA DE ABREVIATURAS E SIGLAS

IBGE	Instituto Brasileiro de Geografia e Estatística
OMS	Organização Mundial da Saúde
WHO	World Health Organization
HPA	Hipotálamo-Pituitária-Adrenal
IPEA	Instituto de Pesquisa Econômica Aplicada
ILPI	Instituição de Longa Permanência para Idosos
MEEM	Mini Exame do Estado Mental
CID-10	Classificação Estatística Internacional de Doenças e Problemas Relacionados com a Saúde-10
DSM-5	Manual Diagnóstico e Estatístico de Transtornos Mentais-5
SD	Sintomas depressivos
AS	Assintomático
GDS	Escala de depressão geriátrica
FV	Fluência verbal
DSF	Digit span forward
DSB	Digit span backward
TME2	Teste de marcha estacionária de dois minutos
TC6'	Teste de caminhada de seis minutos
POMC	Opiomelanocortina
CRH	Hormônio liberador de corticotropina
TNF- $\alpha$	Fator de necrose tumoral alfa
BDNF	Fator neurotrófico derivado do cérebro
APOE	Apolipoproteína E
MAPT	Proteína tau associada a microtúbulos
SLC6A4	Transportador de serotonina dependente de sódio
HTR2A	Receptor 5-hidroxitriptamina 2A
COMT	Catecol O-metiltransferase

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## 1 INTRODUÇÃO

De acordo com a Organização Mundial da Saúde (OMS), idoso é todo indivíduo com 60 anos ou mais<sup>1</sup>. Através de investimentos no cuidado à saúde e com os avanços tecnológicos, a demografia mundial vem sofrendo alterações, aumentando de 930.629.000, o que corresponde a 12,5% de idosos, em 2016 para 1.081.903.000 (13,70%) de indivíduos até o presente ano<sup>2</sup>. Nesse sentido, no Brasil a expectativa de vida do passou de 75,8 anos em 2016 para 76,6 anos em 2019 e o percentual atual de 14,5% da população considerada idosa tende a dobrar nas próximas décadas, segundo a Projeção da População divulgada em 2018 pelo Instituto Brasileiro de Geografia e Estatística<sup>3,4</sup>.

Longevidade e envelhecimento saudável não são sinônimos<sup>5</sup> e com as alterações na funcionalidade, cognição e humor, a qualidade de vida dos idosos é diretamente impactada<sup>6</sup>. Assim, esse é um processo fisiológico permeado de características socioculturais e psíquicas, exigindo uma abordagem holística e interdisciplinar<sup>4</sup>. Entre os possíveis fatores causais da redução da qualidade de vida dessa população destaca-se a alta prevalência de depressão<sup>7</sup>. De forma clínica, pode-se dividir a presença de sintomas depressivos como episódico ou como transtorno depressivo recorrente<sup>8</sup>. Ambas as classificações são compostas por uma ampla gama de sintomas afetivos, neurovegetativos, cognitivos, em relação à volição, autoavaliação e psicomotricidade. O humor triste e a anedonia são os sintomas mais marcantes<sup>9</sup>. O episódio é definido pela presença dos sintomas por pelo menos duas semanas e não mais que por dois anos e é classificado pela Classificação Internacional de Doenças (CID-11)<sup>8</sup> em leve, moderado ou grave de acordo com o número e intensidade dos sintomas. Porém, quando há mais de um episódio depressivo ao longo da vida, sem intercalação de episódios maníacos ou hipomaníacos, diz-se transtorno depressivo recorrente<sup>8</sup>. Dentre tais classificações, o transtorno depressivo maior representa a condição clássica desse grupo de transtornos, mas existem muitos subtipos clínicos<sup>10</sup>. Apesar dos avanços no entendimento da etiologia da depressão maior, nenhum mecanismo determinístico foi estabelecido para explicar todos os aspectos da doença, contudo algumas associações foram elucidadas, como a redução no volume do hipocampo, a mudança na ativação/conexão dos circuitos neuronais, as alterações nos principais sistemas neurobiológicos que mediam a resposta ao estresse, a contribuição genética de aproximadamente 35% e fatores psicossociais<sup>11</sup>.

Na literatura já é estabelecida a associação entre sintomas depressivos e prejuízo cognitivo<sup>12,13</sup>. Através de funções especializadas como a orientação, a atenção e a memória,

dentre outras, a cognição é o que permite responder a estímulos externos com determinados objetivos<sup>14</sup>. Assim, com o envelhecimento há uma redução natural no desempenho cognitivo<sup>13</sup>, principalmente em relação aos processos associados ao córtex pré-frontal<sup>15</sup>. Contudo, apesar da associação supracitada, não está completamente elucidado qual é fator de risco ou sintoma do outro ou ainda, se são consequência de fatores de risco compartilhados entre ambos<sup>16</sup>. Entre as hipóteses, destaca-se a sinalização disfuncional do eixo hipotálamo-pituitária-adrenal (HPA) e a perspectiva do modelo neuropsicológico cognitivo da depressão<sup>17,18</sup>. Assim, investigações que permitam identificar os fatores de risco e proteção relacionados ao declínio cognitivo se ampliam<sup>18</sup>. Entre esses se incluem a aptidão física e o aumento da capacidade cardiorrespiratória, que agem como contribuintes para a manutenção da função cognitiva<sup>19</sup>. Em conjunto, as alterações físicas, cognitivas e psíquicas decorrentes de alterações estruturais, neuroquímicas e psicossociais<sup>6</sup>, impactam diretamente na capacidade funcional, dimensão base para a avaliação geriátrica<sup>14</sup>. A esses processos, algumas vezes, acrescenta-se a institucionalização do idoso, que por si só é um fator estressante, provocando uma quebra no seu processo adaptativo e podendo aumentar de duas a três vezes a prevalência da depressão nessa população<sup>12,15</sup>. No Brasil, até o ano de 2011, o número de idosos institucionalizados era de 83 mil, contando com 3.548 instituições pelo país, com a concentração de dois terços na região sudeste<sup>20</sup>.

Dentre os idosos residentes em Instituições de Longa Permanência para Idosos (ILPIs), de acordo com um estudo de 2014<sup>21</sup> conduzido em 4 municípios brasileiros, 48.7% apresentavam sintomas depressivos. Outro estudo conduzido no nordeste do Brasil confirma a alta taxa de prevalência apontando o percentual de 45.77%<sup>22</sup>. Nesse sentido, ao comparar idosos institucionalizados com os da comunidade em geral, de acordo com um estudo de follow-up, o primeiro grupo apresentou maior declínio cognitivo após a institucionalização, com uma diferença de 0,7 pontos por ano no Mini Exame do Estado Mental (MEEM)<sup>23</sup>. Não é bem estabelecido o porquê do maior índice de declínio cognitivo entre os idosos institucionalizados, porém os fatores sociodemográficos, a falta de interação social, a redução ou falta de atividade física e o maior comprometimento funcional, além dos efeitos físicos e psicológicos da institucionalização são tidos como hipóteses<sup>24,25</sup>.

Devido à transição demográfica a atenção aos processos de saúde da população idosa está em evidência. Assim, o modelo biopsicológico surge como um caminho possível para a integralização das dimensões biológicas e psicológicas. A partir daí, novas estratégias para um envelhecimento saudável podem ser pensadas.



## 2 OBJETIVOS

### 2.1 Objetivo geral:

Apresentar sob diferentes óticas (neuropsicológica e neurobiológica) modelos de associação entre a depressão e as funções cognitivas.

### 2.2 Objetivos específicos:

- Determinar a associação entre sintomas depressivos e funções cognitivas em idosos institucionalizados.
- Identificar a interação entre depressão e declínio cognitivo, mediados por fator inflamatório (TNF- $\alpha$ ) associado aos precursores de hormônios glicocorticoides (POMC e CRH) que podem gerar um *downregulation* no BDNF.



## 4 MATERIAL E MÉTODO

A presente dissertação se desdobra em dois estudos, os quais são apresentados separadamente nesta seção. O primeiro estudo visou determinar o nível de associação entre os sintomas depressivos e as habilidades cognitivas de idosos institucionalizados. O segundo estudo aborda uma hipótese neurobiológica de que a interação entre depressão e declínio cognitivo é mediada por corticosteroides, gerando um *downregulation* no BDNF.

### 4.1 Método do artigo 1:

#### *Cenário e participantes*

Estudo transversal retrospectivo realizado a partir de um banco de dados (<https://doi.org/10.6084/m9.figshare.14233928.v1>) de pesquisas maiores realizadas entre 2015 e 2018 em quatro ILPIs brasileiras (três localizadas na cidade do Rio de Janeiro, estado do Rio de Janeiro, e uma localizada na cidade de Montes Claros, estado de Minas Gerais). No Rio de Janeiro as avaliações ocorreram no turno vespertino e em Montes Claros no turno matutino e vespertino, com alternância de dias entre testes neuropsicológicos e físicos para evitar viés de aferição.

Os participantes do estudo eram idosos, de ambos os sexos, com idade igual ou superior a 60 anos e que atendessem aos seguintes critérios de inclusão: i) ser residente em ILPI; ii) exibir comunicação preservada; iii) ausência de doenças pulmonares e cardíacas graves e debilitantes; iv) autorização médica; e v) ausência de comprometimento neurológico grave. Os participantes foram excluídos se apresentassem transtorno depressivo diagnosticado de acordo com os critérios do Manual Diagnóstico e Estatístico de Transtornos Mentais (DSM-5)<sup>10</sup>, uma vez que a presença de depressão clínica influenciaria a investigação dos sintomas depressivos.

#### *Distribuição de grupos*

Os participantes do estudo foram alocados em dois grupos, de acordo com a presença de sintomas depressivos (SD) e assintomáticos (AS), na ausência de tais sintomas. Essa categorização foi feita de acordo com o ponto de corte da versão brasileira da Escala de Depressão Geriátrica (GDS na sigla em inglês)<sup>26</sup>. A GDS é um instrumento de autorrelato feito especificamente para rastrear a ocorrência de sintomas depressivos em idosos. É

composto por trinta perguntas com respostas binárias (sim ou não). Assim, indivíduos que atingiram pontuação igual ou superior a 10 foram alocados no grupo SD<sup>26</sup>, enquanto os demais foram alocados no grupo AS.

### *Funções cognitivas*

A versão brasileira do MEEM foi usada para quantificar a cognição global. O MEEM é composto por 11 itens e foi utilizado para identificar o nível cognitivo por meio da memória e evocação de curto prazo, orientação temporal e espacial, linguagem e habilidades visuoespaciais, cálculo e práxis. Os maiores valores da pontuação indicam maior desempenho cognitivo, com valor máximo de 30 pontos. Devido à influência do nível de escolaridade nos escores totais do MEEM, diferentes pontos de corte são adotados para os diferentes níveis de ensino<sup>27</sup>. Neste estudo, não houve estratificação por grau de escolaridade, pois esse instrumento foi utilizado apenas para caracterizar a amostra.

O teste de Fluência verbal (FV), foi usado para avaliar a fluência semântica (parte da memória de longo prazo que lida com significados, símbolos e palavras) e função executiva (analisada através da perda de iniciativa, perseverança ou quebra de regras)<sup>28,29,30</sup>. Nesse teste, o sujeito é solicitado a evocar o maior número de animais em 60 segundos. O número total de animais lembrados é a pontuação final<sup>31</sup>.

O *Digit span forward* (DSF) e *Digit span backward* (DSB) foram usados para avaliar memórias de curto prazo e de trabalho, respectivamente. Os participantes foram solicitados a repetir as sequências numéricas ditas pelo avaliador na mesma ordem (DSF). Ao contrário, no DSB a sequência numérica dita pelo avaliador deve ser repetida na ordem inversa pelos participantes. Cada sequência, em ambos os testes, equivale a um ponto e a pontuação total é de 14 pontos. Se um participante repetir 6 ou mais dígitos em ordem direta, sua memória de curto prazo é classificada como normal. Já na ordem inversa, se o indivíduo conseguir repetir pelo menos 4 dígitos, sua memória de trabalho é classificada como normal<sup>32</sup>.

### *Capacidade física*

Utilizou-se o teste de Marcha estacionária de dois minutos (TME2) e o teste Sentar-e-Levantar para avaliar a capacidade física. O primeiro teste é uma proposta alternativa ao teste de caminhada de seis minutos (TC6) por ter maior viabilidade de aplicação em pequenos espaços, como os disponibilizados para este estudo. O TME2 avalia a resistência aeróbia do indivíduo por meio do número máximo de passos realizados em dois minutos a

partir do comando sonoro do avaliador. A altura mínima do joelho apropriada deve atingir um ponto médio entre a patela e a espinha íliaca ântero-posterior. A pontuação total é registrada por um pesquisador treinado para contar quantas vezes o joelho direito atinge a altura indicada. A classificação é feita de acordo com o sexo e a idade, mas é considerada como zona de risco pontuação inferior a 65 passos para homens e mulheres<sup>33,34</sup>. O teste Sentar-e-Levantar começa com o sujeito sentado em uma cadeira, os pés apoiados no chão e os braços cruzados na altura do peito. Ao sinal sonoro do avaliador, o participante deve levantar-se e sentar-se continuamente até que seja dado um comando para parar. O número máximo de movimentos concluídos em 30 segundos estima a capacidade funcional dos membros inferiores. A classificação é feita de acordo com o sexo e a idade, porém, é considerada como zona de risco menos que 8 levantamentos não assistidos para homens e mulheres<sup>34</sup>.

#### *Análise estatística*

Os dados são apresentados como média  $\pm$  desvio padrão para variáveis com distribuição normal e como mediana (mínimo e máximo) para variáveis não-paramétricas. O teste de Shapiro-Wilk foi usado para determinar a normalidade dos dados. O teste T-independente e o teste de Mann-Whitney foram usados para comparar os dois grupos (AS e SD) em relação às variáveis quantitativas, de acordo com os pressupostos conceituais. Um teste de regressão logística binária foi realizado para verificar se as variáveis cognitivas e de desempenho físico, de acordo com suas categorias, poderiam prever sintomas depressivos. O nível de significância foi estabelecido em  $p \leq 0,05$ . Todas as análises foram realizadas no SPSS® versão 21.0.

#### *Declaração de ética*

Este estudo faz parte de dois grandes projetos que foram aprovados pelo Comitê de Ética em Pesquisa da Universidade Federal Fluminense (protocolo 1.287.659 / 2013) e Universidade Estadual de Montes Claros (protocolo 2.398.863 / 2017). Todos os voluntários assinaram o termo de consentimento livre e esclarecido para participar do estudo.

#### **4.2 Método do artigo 2:**

Este estudo foi elaborado com base em análise *in silico*. O banco de dados GeneCards (<https://www.genecards.org>) foi usado para explorar os genes que codificam as proteínas associadas à depressão e declínio cognitivo. Com base na literatura sobre a interação entre depressão e declínio cognitivo<sup>35,36,37</sup>, os termos pró-opiomelanocortina (POMC), hormônio liberador de corticotropina (CRH), TNF- $\alpha$  e BDNF foram inseridos no GeneCards, resultando na recuperação de outros genes, como apolipoproteína E (APOE), proteína tau associada a microtúbulos (MAPT), transportador de serotonina dependente de sódio (SLC6A4), receptor 5-hidroxitriptamina 2A (HTR2A) e Catecol O-metiltransferase (COMT).

Os genes mencionados acima foram inseridos no banco de dados *String* (<https://string-db.org>), que aborda os genes e suas proteínas com interações em rede. A espécie considerada para o estudo foi a *Homo Sapiens*. Cada ligação proteína-proteína recebe uma certa “pontuação”, que indica a veracidade da interação de acordo com as evidências publicadas. As interações encontradas tiveram escores acima de 0,40 e são classificadas como de média confiança, sendo o valor de p considerado significativo quando  $\leq 0,05$ .

As ligações encontradas foram analisadas de acordo com as cores dos símbolos da rede de interação, em que as linhas coloridas mostram interações moleculares, enquanto as linhas cinzas apresentam associações co-mencionadas nos resumos do PubMed. Assim, as linhas azuis significam ligação direta entre os genes; linhas amarelas - regulação da transcrição; linha roxa - catálise; linha preta - reação; setas - ativação; círculo - interação não especificada; e traço vermelho - inibição.

## **5 PRODUTOS TÉCNICO-CIENTÍFICOS GERADOS**

**5.1 Produto 1:** *PHYSICAL AND COGNITIVE ABILITIES OF INSTITUTIONALIZED OLDER PERSONS WITH DEPRESSIVE SYMPTOMS*. Periódico: *Frontiers Psychology*. Artigo submetido e em processo de resposta aos revisores.

**5.2 Produto 2:** *NEUROBIOLOGICAL HYPOTHESES ABOUT THE INTERACTION BETWEEN DEPRESSION AND COGNITIVE DECLINE: AN IN SILICO ANALYSIS*. Periódico: *CNS & Neurological Disorders – Drug targets*. Artigo em construção.

## 5.1 PRODUTO 1

### COGNITIVE ABILITIES OF INSTITUTIONALIZED OLDER PERSONS WITH DEPRESSIVE SYMPTOMS

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**Abstract:**

**Objective:** To determine the level of association between depressive symptoms and cognitive abilities of institutionalized older adults.

**Methods:** This is a cross-sectional study that enrolled 69 older adults, living in a long-term care facility. Investigation of depressive symptoms in all individuals was performed using the geriatric depression scale. Physical and cognitive tests were performed to assess their association with depressive symptoms.

**Results:** Depressive symptoms were identified in 35 individuals. Older adults with worse cognition and physical performances were associated with the presence of depressive symptoms (MMSE ( $t(61) = 2.36; p < 0.05$ ) and 2MST ( $t(53) = 3.12; p < 0.05$ ). Short-term memory and working memory tests presented worse results in individuals with depressive symptoms (DSF:  $U = 402.00; p < 0.05$  and DSB:  $U = 341.00; p < 0.05$ ). Older adults with low and normal DSF scores were 5 times more likely to exhibit depressive symptoms.

**Conclusion:** It is highlighted the importance of physical and cognitive intervention strategies in long-term care institutions to older adults in order to reinforce cognition and physical abilities. Notably, a deficit in short-term memory ability might increase the risk to develop depressive symptoms in older adults.

**Keywords:** Aged; cognition; depressive symptoms; institutionalization; physical fitness.

## INTRODUCTION

Changes resulting from technological advances and health care have been modified the demographics in the world. In four decades, the world population aged 60 or over will increase from approximately 800 million to 2 billion<sup>1</sup>. In line with the global trend, the number of Brazilian older persons grew 18% in 5 years, from 25.4 million in 2012 to over 30 million in 2017<sup>2</sup>.

According to the World Health Organization, the definition of older is not universally applicable. The definition can vary by country, culture, and gender, as such these events contribute to the aging process. In Brazil, the Elderly Statute considers older adults to be 60 years of age or older. Still, the United Nations Organization establishes differences between the older adults in developed and developing countries, being that in the first case, people aged 65 and over, and in the second, as in the case of Brazil, those aged 60 or more.<sup>3,4,5</sup>

This transition in the age structure has attracted attention to the preservation of functional skills, especially for the older adults, since these individuals usually have physical and cognitive impairments, increasing the risk of dependence<sup>6,7</sup>. Thus, aging is understood as a physiological process covered with sociocultural and psychic characteristics, and its approach is complex and interdisciplinary<sup>8</sup>. However, unsuccessful aging affects the functionality, cognition and mood, impairing the quality of life of older adults<sup>9</sup>. The aforementioned factors reduce the quality of life of older adults and increase the prevalence of depression<sup>10</sup>.

Despite advances in understanding the etiology of depressive disorder, no mechanism has been established to explain all aspects of the disease. However, some mechanisms have been hypothesized, such as i) the reduction in the volume of the hippocampus, ii) the change in the activation/connection of neuronal circuits which mediate the stress response (e. g. HPA axis), iii) the genetic contribution and iv) psychosocial factors, such as significant losses (e.g. death of a loved one, job loss), lack of social support and other illnesses<sup>11</sup>. In addition to these factors, there are theories that address cognitive and behavioral models of depression. In Beck's cognitive model, the central role of beliefs incorporated into cognitive schemas for the development of depression and other disorders is believed. Thus, negative cognitive biases in the interpretation of events related to themselves, the world, and the future cause to explain depressive symptoms<sup>12,13</sup>. Still, Seligman's theory of helplessness

addresses the impact on cognition, motivation, and emotions when the subject in the face of a challenging situation repeatedly fails to conduct himself. In this way, the subject believes that there is no relationship between his actions and responses and is unmotivated, creating avoidance patterns. Such a negative emotional state could then give rise to depressive symptoms<sup>14</sup>.

Individuals with depressive symptoms usually exhibit cognitive impairment<sup>15</sup>. Cognition allows us to achieve certain goals through specialized functions that enable the ability to think, remember, feel, perceive and respond to external stimuli<sup>16</sup>. There is a decrease in cognitive performance during aging, which does not necessarily affect everyday activities<sup>17</sup>. Thus, the cognitive condition of the older population is a reflection of the cumulative process of cognitive decline, not necessarily resulting in neurocognitive disorders or cognitive impairments that significantly impact their functionality<sup>18,19</sup>.

Thereby, efforts to identify risk and protective factors related to cognitive decline have been a frequent concern. Aging is still the biggest risk factor for neurocognitive disorders since with it there is an increased risk of neurodegenerative, cerebrovascular, and cardiovascular diseases that directly impact cognition<sup>20</sup>. In this sense, a great quantity of cardiovascular risk factors has been investigated and, among them, physical fitness has been recommended as a useful intervention aiming to optimize cognitive aging<sup>21</sup>. Longitudinal neurocognitive performance is associated with baseline cardiorespiratory fitness and memory appears to be a substantially affected domain<sup>22</sup>.

It should be noted that depression, cognitive and physical impairments have been related to dependency and institutionalization of older adults<sup>13,23</sup>. The prevalence of depression among community-dwelling older adults is in the range of 2 to 10% for patients with minor depression, however, up to 50% of the older adults in nursing homes are depressed<sup>23</sup>. Institutionalization *per se* is a stressor, increasing from two to three times the prevalence of depression in this population<sup>16,25</sup>. Also, cognitive decline is highly prevalent in these populations when compared to community-dwelling older persons<sup>26</sup>. According to a follow-up study among a group of institutionalized and community older adults, the first group presented a greater cognitive decline after institutionalization with a difference of 0.7 points per year in the MMSE (Mini-Mental State Examination)<sup>27</sup>. The reasons for a greater cognitive decline among institutionalized older adults are not completely elucidated in the literature, but it is believed that physical and psychological effects of institutionalization, sociodemographic factors, social isolation, reduction or lack of physical activity leading to



greater functional impairment, in addition to own depressive symptoms<sup>26,27,28</sup>. In fact, conducting research at the LTCFs in Brazil is difficult to manage due to the lack of structural and human resources, in addition to the possible losses of subjects that occur during the course of the research. A possible solution is to track the symptoms of the older people at the time of entry into the LTCF and try to conduct periodic reevaluations, however, obstacles are still likely to happen.

Therefore, along with the increase in life expectancy of individuals, there is the increased necessity of focusing on the healthy aging process<sup>1</sup>. Added to this, is complex the relation between depression and cognitive decline. Since, despite the different theories as to how this association and its mechanisms happen, mostly in the literature researches has shown depressive symptoms as predictors of cognitive decline<sup>29,30,31</sup>. In the present study, we aim to contribute to the discussion by addressing another possibility: Can cognitive impairment be a predictor of depressive symptoms in institutionalized older adults?

## **METHODS**

### Setting and participants

This is a retrospective cross-sectional study carried out from a database (<https://doi.org/10.6084/m9.figshare.14233928.v1>) of larger research performed between 2015 and 2018 in four Brazilian LTCFs (three located in Rio Janeiro city, Rio de Janeiro state, and one located in Montes Claros city, Minas Gerais state). In Rio de Janeiro the evaluations took place in the afternoon shift and in Montes Claros in the morning and afternoon shift with alternating days between neuropsychological and physical tests to avoid measurement bias.

Participants of this study were of both genders, aged 60 years old or over. The following inclusion criteria were applied: i) being a resident of an LTCF for older adults; ii) to exhibit preserved communication; iii) absence of severe and debilitating lung and heart disease; iv) medical authorization; and v) absence of severe neurological impairment. Participants were excluded if they presented diagnosed depressive disorder according to the DSM-5 (Diagnostic and statistical manual of mental disorders-5) criteria.

### Groups of study

Participants of this study were allocated in two groups according to the presence of depressive symptoms (DS) and those as asymptomatic ones (AS). That categorization was

made by transforming the numerical variable from the Brazilian version of the Geriatric Depression Scale (GDS)<sup>32</sup> into a categorical one. GDS is a self-report instrument made specifically for screening the occurrence of depressive symptoms in older adults. It consists of thirty questions with binary answers (yes or no). Individuals who reached a score equal to or greater than 10 were allocated to the DS group<sup>32</sup>. The sensitivity and specificity of GDS 30 were 83 % and 57 % respectively and the test presents a moderate reliability ( $\kappa = 0.48$ ;  $p = 0.04$ )<sup>32</sup>.

### Cognitive functions tests

The Brazilian version of the MMSE was used to quantify global cognition. MMSE consists of 11 items and was used to identify the cognitive level through memory and short-term evocation, temporal and spatial orientation, language and visuospatial skills, calculus, and praxis. The higher values of the score indicate greater cognitive performance, with a maximum value of 30 points. Due to the influence of education level on the total MMSE scores, different cut-off points are adopted for different levels of education<sup>33</sup>. In this present study, there was no stratification according to the level of education since this instrument was only used to characterize the sample. The MMSE test has a reliability coefficient of ( $R = 0.90$ ), classified as high<sup>34</sup>. According to the Medcal software, the sensitivity of the MMSE was 37% and the specificity was 91%.

Verbal Fluency (VF), was used to assess semantic fluency (part of the long-term memory that deals with meanings, symbols, and words) and executive function (analyzed through the loss of initiative, perseverance, or breaking rules)<sup>20,35,36</sup>. In this test, the subject is asked to evoke the largest number of animals within 60 seconds. The total number of animals remembered is the final score<sup>37</sup>. The reliability of VF is 99% classified as high<sup>38</sup>. Sensitivity of 76% and specificity was 44% according of the Medcal software.

Digit span forward (DSF) and Digit span backward (DSB) were used to assess short-term memory and working memory, respectively. Both tests have high reliability coefficients (Fisher  $Z = 0.90$ )<sup>39,40</sup>. In the DSF test participants were asked to repeat numeric sequences said by the assessor in the same order. Otherwise, in the DSB the numerical sequence said by the assessor should be repeated in the reverse order by the participants. Each sequence, in both tests, is equivalent to one point and the total score is 14 points. If a participant repeats 6 or more digits in direct order, his/her short-term memory is classified as normal. Meanwhile in the reverse order, if the individual is able to repeat at least 4 digits,

his/her working memory is classified as normal<sup>41</sup>. The sensitivity of the DSF was 82% and the specificity was 52%. The DSB sensitivity was 40% and the sensitivity was 93%. Tests performed according to Medcal software.

Thus, for this study in relation to cognition, executive functions were considered, especially: memory, language, decision making, and inhibitory control.

### Physical capability

We used the stationary gait test of two minutes (2MST) and the Sit-to-stand Test to assess the physical capability. The first test is an alternative proposal of the six-minute walk test (6MWT) as it has greater feasibility of application in small spaces, such as those made available for the present study. The 2MST evaluates the aerobic endurance of the individual through the number maximum of steps complete in two minutes from the buzzer evaluator. The appropriate minimum knee height should reach a midpoint between the patella and the anteroposterior iliac spine. The total score is recorded by a trained researcher to count how many times the right knee reaches the indicated height. The classification is made according to sex and age, but it is considered as a risk zone less than 65 steps for men and women<sup>42,43</sup>. The Sit-to-stand Test begins with the subject sitting in a chair, feet flat on the floor and arms crossed at chest level. The sound signal evaluator, the participant must get up and sit continuously until a command is given to stop. The maximum number of completed movements in 30 seconds estimates the functional capability of the lower limbs. The classification is made according to sex and age, however, it is considered as a risk zone less than 8 unassisted stands for men and women<sup>43,44</sup>. Thus, these instruments aim to assess aerobic endurance and muscle strength of the lower limbs to determine functional physical capacity.

All instruments, cognitive and physical tests used in the study followed their respective application protocols<sup>33,35,36,37,41,42,43</sup>. Therefore, all instructions were given to the participants orally. The auditory acuity was established informally and only individuals with preserved communication skills were included in the study. Still, participants requiring orthosis could use it while performed subtasks of the MMSE, minimizing bias. However, it is important to appraise that hearing loss is associated with psychosocial impairments, which can impair autonomy, cause isolation and worsen quality of life, even causing depressive symptoms<sup>45</sup>.

### Statistical analysis

Based on the reference by Thakur and Blazer (2008)<sup>46</sup>, was used a 35% prevalence of depressive symptoms in institutionalized older persons. A finite population of 309 individuals was considered, the precision of 5%, and the proportion of events of 35%. The sample was estimated at 164 subjects. To perform the calculation the Sample Size Calculator tool from the Australian Bureau of Statistics was used. In addition to those that did not fit the inclusion criteria, adds up the difficulties related to conducting research at LTCFs such as the high turnover, deaths, lost information due to the gaps in the medical records, and data loss in testing applications only 69 individuals were evaluated.

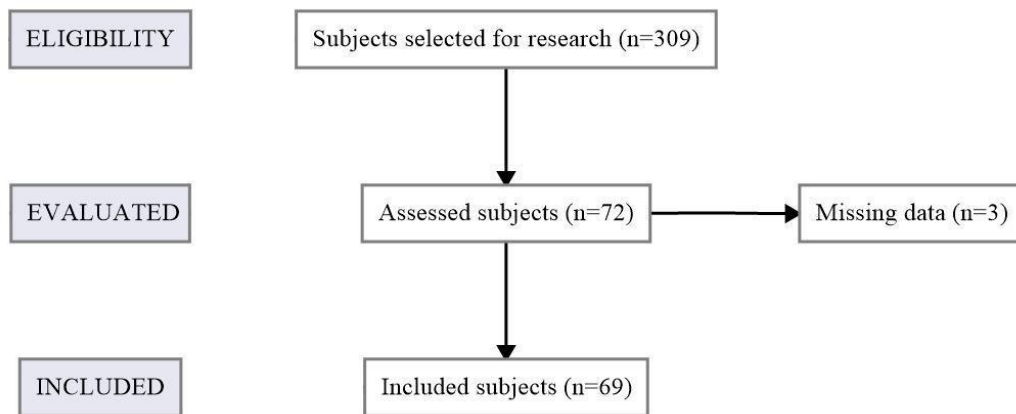
Data are presented as mean  $\pm$  standard deviation for normally distributed variables and as a median (min and max) for variables without normal distribution. The Shapiro-Wilk test was used to determine the normality of the data. Independent T-test and Mann-Whitney test were used to compare the two groups: asymptomatic (AS) and depressive symptoms (DS), as appropriate. A hierarchical binary logistic regression test was performed to verify whether the cognitive variables could predict depressive symptoms since these data were transformed into categorical variables. Since aerobic capacity interferes with depressive symptoms and cognitive functions, this variable was used as a control. The level of significance was established at  $p < 0.05$ . All analyzes were performed using SPSS<sup>®</sup> version 21.0.

### Ethics statement

This study is a part of two large projects which were approved by the Research Ethics Committee of the Universidade Federal Fluminense (protocol 1.287.659/2013) and Universidade Estadual de Montes Claros (protocol 2.398.863/2017). All volunteers signed the informed consent to participate in the study.

## **RESULTS**

Sixty-nine older adults participated in this present study. Figure 1.



**Figure 1** Flowchart of the study selection protocol.

Regarding the medication intake, all of the participants used three or more, with emphasis on the use of antihypertensives, benzodiazepines, and anxiolytics/antidepressants.

In concern to the occurrence of depressive symptoms, 34 individuals were classified as asymptomatic (AS) and 35 participants showed depressive symptoms (DS). AS group had a score of  $5.36 \pm 2.13$  on GDS, while older adults of the DS group had a value of  $17.56 \pm 4.08$  on GDS. Sociodemographic data are displayed in Table 1.

Table 1 - Characteristics and cognitive and physical performance in institutionalized asymptomatic (AS) and symptomatic (DS) older adults.

Variable	AS (n = 34)	DS (n = 35)	p-value
<b>GDS</b> <sub>(score)</sub>	$5.36 \pm 2.1$	$17.56 \pm 4.08$	$< 0.01^{\#}$
<b>AGE</b> <sub>(years)</sub>	$81.05 \pm 8.01$	$83.38 \pm 7.57$	$0.22^{\#}$
<b>BODY MASS</b> <sub>(kg)</sub>	$68.98 \pm 17.42$	$68.53 \pm 17.03$	$0.94^{\#}$
<b>HEIGHT</b> <sub>(m)</sub>	$1.56 \pm 0.09$	$1.53 \pm 0.07$	$0.44^{\#}$
<b>MMSE</b>	$20.79 \pm 5.27$	$17.14 \pm 7.42$	$0.02^{\#}$
<b>DSF</b>	6.00 (1, 10)	4.00 (0, 10)	$0.01^*$
<b>DSB</b>	3.00 (0, 7)	2.00 (0, 7)	$< 001^*$
<b>VF</b>	$9.59 \pm 4.95$	$8.18 \pm 4.71$	$0.24^{\#}$
<b>Sit-to-Stand</b>	$7.28 \pm 2.56$	$6.04 \pm 2.36$	$0.06^{\#}$
<b>2MST</b>	$38.50 \pm 16.67$	$26.00 \pm 12.58$	$< 0.01^{\#}$

Data presented with mean  $\pm$  standard deviation (s.d.). <sup>#</sup>Independent T-test. Data presented with median, minimum and maximum. \*U-test. ( $p \leq 0.05$ ).

The homogeneity of ages between groups is especially relevant considering that age can negatively influence the subject's cognitive ability<sup>16,25</sup>. The data obtained through the independent T-test showed a difference between the groups with and without depressive symptoms, according to the results of the MMSE variables ( $t(61) = 2.36$ ;  $p < 0.05$ ) and 2MST ( $t(53) = 3.12$ ;  $p < 0.05$ ) shown in Table 2.

Table 2- Binary logistic regression showing the relationship between cognitive functions and depressive symptoms in institutionalized older adults (Cox & Snell  $R^2=0.261$ ; Nagelkerke  $R^2=0.347$ ).

Variable	$\beta$	WALD	OR	CI95%	p-value
DSF	1.582	4.300	4.86	1.09; 21.69	0.03
DSB	-.586	0.506	0.55	0.11; 2.79	0.47

Aerobic capacity was used as a control covariate: 2MST (OR = 0.93,  $p < 0.01$ ). The cutoff points of 6 and 4 were used respectively for the DSF and DSB tests<sup>26</sup>.

These results demonstrate that older adults with depressive symptoms show worse cognitive and physical performance when compared to those with no symptoms.

Older adults with depressive symptoms showed lower short-term memory and working memory than asymptomatic ones DSF ( $U = 402.00$ ,  $p < 0.05$ ) and DSB ( $U = 341.00$ ,  $p < 0.05$ ), indicating that cognitive functions influence depressive symptoms (Table 1).

These outcomes were consolidated according to the results from binary logistic regression made on cognitive performance as predictor of depressive symptoms. The results pointed that the older adults with scores below normal in DSF (score  $< 6$ ) are 5 times more likely to have depressive symptoms. However, the other variables were not significant predictors of depressive symptoms. These findings are detailed in Table 2.

## DISCUSSION

This study evidenced short-term memory as the main cognitive function influencing depressive symptoms. According to the literature, people with major depression may present a deficit in several cognitive processes such as memory, attention, processing speed, and learning, in addition to a negative cognitive bias in the understanding of information<sup>47,48</sup>.

Regarding short-term memory, this can be understood by the human capacity to retain a limited amount of information for a short period of time<sup>49</sup>. Working memory, on the other hand, is the combination of sustaining attention and immediate memory that is used to achieve a specific goal and carry out behavior<sup>49</sup>. To differentiate between short-term memory and working memory, it must be understood that working memory is fully active and requires effort when maintaining mental operations for a short period, "working" constantly<sup>50</sup>.

About the association between depressive symptoms and cognitive impairment in institutionalized older adults a recent study<sup>25</sup> showed results that corroborating the idea that as higher is the score obtained in the GDS, the greater is the cognitive impairment. In the comparison between the GDS and the Cambridge Cognitive Test, a tool used in the study that also assesses the cognitive performance of the older adults, a negative Pearson correlation ( $r = -0.471$ ;  $p = 0.004$ ) was found for the group of older adults considered independent, indicating that as the score of one instrument increases, the other decreases.

It is noteworthy that although the variables working memory and global cognition did not show a significant p-value, these were important to improve the initial model (without independent variables), increasing its predictive power from 50% to 72,2%. These variables were statistically significant before in the association with depressive symptoms in older patients in this study and the literature<sup>16,17,48</sup>. Moreover, aerobic capacity was used as a control variable with significant influence on the model  $p < 0.05$ . This result can be explained by a study of 2012<sup>16</sup>, also with institutionalized older adults, once an increase in the incidence of depressive symptoms was associated with impaired functional capacity ( $r = -0.306$ ,  $p = 0.021$ ), explaining that as one of the elements increases, the other reduces. Another study indicates that physical impairment is a risk factor for depression<sup>51</sup>.

It should be noted that, in the context of institutionalization, the older adults are limited by various factors of the LTCFs, such as the rigidity of routine, reduced social functions, the absence of situations that require decision-making, the limitation of the physical space that result in a loss of autonomy and put you in a situation of physical, cognitive and emotional fragility<sup>16</sup>. We emphasize that being an old adult is different in developed and developing countries<sup>5</sup> and that the prevalence of depression varies due to cultural factors and assessment methods. However, in Brazil, studies claim that among institutionalized older persons, the prevalence of depression is approximately 50% (48.7% and 45.7%)<sup>52,53</sup>, following the trend of developed countries like the United States of America<sup>54</sup>.

The aging process is natural and inevitable, causing several structural, functional, social, and psychic changes, resulting from the interaction of several intrinsic and extrinsic factors. Understanding these changes in cognitive functions, which are related to depressive symptoms, can be useful in preventing depressive symptoms by monitoring cognitive impairment, resulting in greater longevity, quality of life, and less burden to public coffers<sup>25</sup>. Regarding physical performance, this should be understood within a context of functionality and autonomy, since its decline may be associated with the onset of depressive symptoms<sup>16</sup>. Likewise, considering better mental health should also be considered with the absence or minimization of depressive symptoms can promote an improvement in physical and cognitive ability<sup>55,56</sup>.

When it comes to the older population, depression is under-diagnosed and under-treated, either because its symptoms permeate neurodegenerative diseases, making a differential diagnosis difficult, or because its symptoms are considered part of unsuccessful aging<sup>57</sup>. Consequently, with the progressive enhancement in the population aging and the risk of depression, this issue will become a public health problem. The problem is added that with the increase in aging, there is an increase in the institutionalization of this part of society<sup>25</sup>, and studies have already addressed that compared to older adults in the community, those who live in LTCF have a higher prevalence of depressive symptoms, cognitive impairments, and functional deficits<sup>9,16,25,57</sup>.

Although the association between depression and cognitive decline is well established in the literature, it is not clear whether cognitive decline is a risk factor for depression, a symptom of it, or a consequence of risk factors that are shared between both<sup>33</sup>. Many studies point to depression as a risk factor for dementia<sup>58,59,60,28</sup>, however, 20% of subjects with dementia develop depression<sup>61</sup>. Added to this is the perspective of the cognitive neuropsychological model of depression that brings with it the idea that the negative affective bias plays a central role in the development and maintenance of depression<sup>62,63</sup>. In order to collaborate in this matter, this study discussed cognition as a predictor of depression, since even with the remission of the episode of major depression, deficits in selective attention, working memory, and long-term memory remain<sup>64</sup>. Therefore, it is important to consider both pathophysiological pathways and their clinical implications for better treatment guidance.

In this sense, this study aimed to promote a better understanding of the possible associations of depressive symptoms and cognitive ability being controlled by aerobic capacity. This is a reaffirmation study to increase the range of evidence in this line of studies.



This association between low short-term memory and depressive symptoms may occur via hippocampal atrophy<sup>65</sup>. Memories that carry a relationship with the hippocampus are the most affected in depressed patients as they undergo changes mediated by stress, decreased neurogenesis, and neurodegeneration<sup>66</sup>. Considering the classic segmentation of the hippocampus which is - CA1-CA4, dentate gyrus, subiculum, molecular layer, and tail - these substructures present a reduction in volume, mainly in the left lateral region, with the exception of the molecular layer, which presents an increase<sup>65</sup>. Such findings confirm the importance of CA1-CA4 and dentate regions, which are important circuits for the functions of pattern separation and completion and neurogenesis<sup>65</sup>. Thus, the atrophy of these regions in depression may be associated with impaired short-term memory. However, as such mechanisms were not investigated in the present study, we should interpret this as a speculation.

Limitations include the lack of sociodemographic data and the loss of anthropometric data (37), but without prejudice to the reliability of the results. The lack of stratification of the MMSE requires a cautious interpretation of the results. Some included individuals were diagnosed with depression according to the physician in each LTCF. However, none validated criteria (e. g. DSM-5) was used as an instrument to provide the diagnosis. Thus, these persons were not excluded from the sample, probably limiting our results. Also, it is added that with aging the prevalence of sensory deficits increases and these can negatively impact cognitive performance, which may result in overestimating cognitive decline<sup>67</sup>, requiring further investigation of how such deficits are associated with cognitive functions. About the limitations surrounding the Brazilian LTCFs most are philanthropic and do not have sufficient human resources to manage services and information, causing limitations in conducting research at these institutions. Thus, the results of this study cannot be generalized, once the planned sample was not reached, once a large number of older adults were excluded due to the incapacities to be evaluated. Still, considering the heterogeneity of issues that vary among the older adults aged 60, 80, or more, a multidimensional approach that considers the peculiarities of each age group is necessary to guide both diagnosis and treatment in order to offer a more appropriate care plan for the situations that concern each older person in these periods<sup>68</sup>.

Finally, a prospective study investigating the incidence of depression and cognitive impairment in institutionalized older adults should be carried out, since in this research we do not intend to establish whether institutionalization is what favors such declines

or if people with previous commitments are more hospitalized. However, it is pointed out that there is a need to intensify the care provided by the LTCFs so that they favor the autonomy of the older adults through cognitive, physical, and social stimulation.

## **CONCLUSION**

The present study demonstrated that short-term memory and working memory would be associated with the development of depressive symptoms in institutionalized older adults. The results indicate the importance of seeking intervention strategies in these institutions aimed at strengthening these capabilities, especially concerning short-term memory, whose deficit would be associated with an approximately 5 times greater risk of developing depressive symptoms.

## **Acknowledgments**

We thank the participants and the LTCF collaborators for making this research possible. To the Coordination for the Improvement of Higher Education Personnel - Brazil (CAPES) - and the Carlos Chagas Foundation for Research Support of the State of Rio de Janeiro (process E-26 / 202.973 / 2017) for the financial support.

## **Authorship Contributions**

All authors contributed equally to develop this study.

## **Disclosure of Conflicts of Interest**

The authors declare no conflict of interest.

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## 5.2 PRODUTO 2

### **NEUROBIOLOGICAL HYPOTHESES ABOUT THE INTERACTION BETWEEN DEPRESSION AND COGNITIVE DECLINE *IN SILICO* ANALYSIS.**

Depressive and neurocognitive disorders have a mutual influence through their neuropathological pathways. Studies reveal that 25 to 40% of older persons diagnosed with depression may exhibit mild cognitive impairment<sup>1</sup>. Like the older adult with stress-related disorders, they are at an increased risk of vascular dementia and Alzheimer's disease<sup>2</sup>.

Psychosocial stress throughout life can promote a neurotoxic environment with the accumulation of corticosteroid hormones, insoluble proteins, and pro-inflammatory cytokines. In the case of chronic depression in the older adult, the main neuropathological process shows an increase in the activity of the hypothalamic-pituitary-adrenal axis (HPA) with the excess production of corticosteroids<sup>3</sup>. On the other hand, the main neurobiological theories of cognitive decline in the older adult report the accumulation of insoluble proteins, such as  $\beta$ -amyloid and Tau<sup>4</sup>. Both depression and cognitive decline are also associated with the phenomenon of chronic inflammation with aging (inflammaging), which promotes the accumulation of pro-inflammatory cytokines, such as interleukins and tumor necrosis factors (TNF)<sup>5</sup>. Together, these neuropathological pathways promote neurodegeneration of the prefrontal cortex, amygdala, insula, and hippocampus, presenting an important role in the association of depressive symptoms and cognitive decline<sup>3,6</sup>.

Hippocampal atrophy caused by the accumulation of neurotoxic substances can produce a vicious and disruptive cycle on the HPA axis, stimulating both depressive symptoms and cognitive decline<sup>7</sup>. The chronic release of inflammatory cytokines can also increase the expression of glutamate receptors and reduce the expression of serotonergic, dopaminergic, and insulin receptors, increasing cerebral glial and metabolic dysfunction<sup>8,9</sup>. The deleterious effects of these changes also affect brain structure and function, through the inhibition of protein synthesis and the downregulation of neurotrophic factors<sup>10</sup>. Thus, regardless of the neuropathological pathway, neurodegeneration and neural inflammation can perpetuate depression and cognitive decline.

Given the diverse neuropathological pathways involved in this matter, understanding the relationship between depression and cognitive decline may have important implications for early diagnosis and treatment. Therefore, the present study aims to reinforce

the hypothesis about the interaction of depression with cognitive decline through an *in silico* analysis. Therefore, the present study through *in silico* analysis proposes as a hypothesis about the interaction of depression, cognitive decline, corticosteroids (POMC and CRH), genes that encode proteins (APOE, MAPT, SLC6A4, HTR2A, COMT) and cytokines (TNF - $\alpha$ ) that would cause a downregulation in the BDNF.

### **Mechanisms of depression**

The first hypothesis related to the pathophysiology of major depressive disorder (MDD) considered the mechanisms of the main antidepressants, building a so-called monoaminergic theory<sup>11</sup>. Changes in the levels of serotonin, norepinephrine, and dopamine would be responsible for the disease, despite that, the depletion of these neurotransmitters is not enough to explain it<sup>12</sup>.

Subsequently, the role of the receptors was the subject of intense research. The increased expression of 5HT1a autoreceptors is related to depressive symptoms and the opposite happens to their heteroreceptors<sup>13</sup>. The same occurs with 5HT1b autoreceptors, which are related to the reactivity to stress and its heteroreceptors, related to possible hippocampal neurogenesis and antidepressant action<sup>14</sup>. An overactivity of 5HT-2c heteroreceptors can contribute to the same etiology of depression as evidenced by the abnormal increase in the expression of these receptors in suicide victims<sup>14</sup>. The 5-HT4 heteroreceptor polymorphism is associated with susceptibility to depression, whereas the 5-HT7 heteroreceptors mediate interactions between the serotonergic system and the HHA / HPA axis, with the agonism of the receptors increasing the hippocampal expression of glucocorticoid receptors<sup>12</sup>.

A dysfunction of the HPA axis in MDD is well documented, and this correlation is more consistent when considering childhood traumas<sup>15</sup>. This occurs because of a reduction in the function and expression of glucocorticoid receptors, which impair the negative feedback of the axis and keep cortisol releasing high<sup>16</sup>. Hypercortisolemia reduces the immunomodulatory function of the axis, increasing proinflammatory cytokines expression and reducing anti-inflammatory factors, which cause a decrease in the neurogenesis<sup>17</sup>. However, it is not clear whether these changes in the peripheral immune system are risk factors or the result of major depressive disorder<sup>18</sup>.

Besides the aforementioned neuroendocrine effects, pro-inflammatory cytokines cause effects on the synthesis, release, and reuptake of all neurotransmitters (serotonin,

dopamine, norepinephrine, and glutamate) associated with affective disorders<sup>18</sup>. Inflammation also occurs through mechanisms mediated by toll-like receptors Type 4 that activates NF- $\kappa$ B, inflammasomes (producing IL-1 $\beta$  and IL-18), increased permeability of the blood-brain barrier through IL-6 and TNF as well as changes in the gut microbiota<sup>19</sup>. In addition to the influences of peripheral immunity, immune cells of the central nervous system such as microglia are activated and astrocytes atrophied (with negative consequences on synaptogenesis and increase on neurotoxicity)<sup>20</sup>.

An important factor in the communication between the microbiota, the inflammatory factors, and the HPA axis is the vagus nerve that exerts a bi-directional function in controlling mood and the immune response through information on internal organs by their afferences (forming one component of the gut-brain axis) and efferences (part of the parasympathetic system)<sup>21</sup>. It acts as an intermediary in the influence of the effects of colonic inflammation and the beneficial effects of probiotics<sup>22</sup>. This mechanism is displayed in the Figure 01.

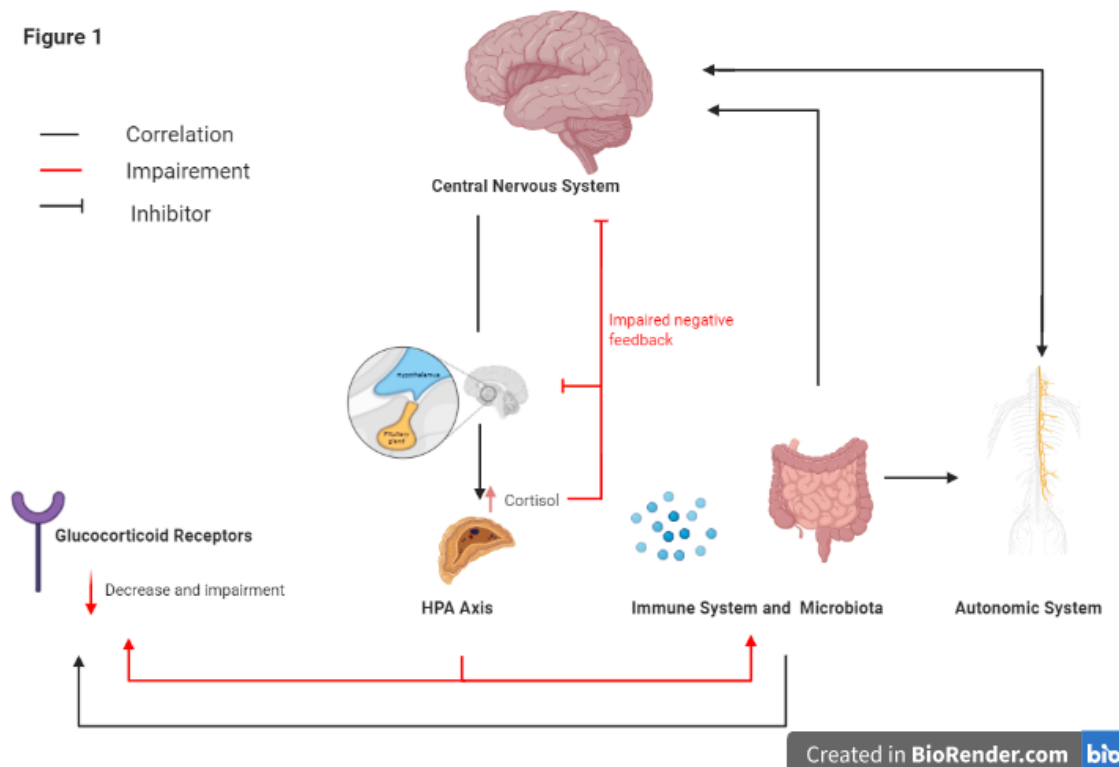


Fig. 1. Mechanisms of depression. Gut-brain axis and the bidirectional function of the vagus nerve mediating mood and immune responses.

Also, more recent studies highlight that low levels of irisin, a hormone produced endogenously during continuous physical exercise, may be involved in the development of

depressive symptoms<sup>23</sup>. This is due to the fact that irisin is an inducer of BDNF expression through the peroxisome proliferator-activated receptor gamma coactivator 1-alpha (PGC1a)/fibronectin type III domain containing 5 (FNDC5) pathway<sup>24</sup>. Thus, lower concentrations of irisin would imply a lower expression of BDNF and, consequently, a reduction in its neuroprotective functions.

### **The relationship between inflammation and cognitive decline**

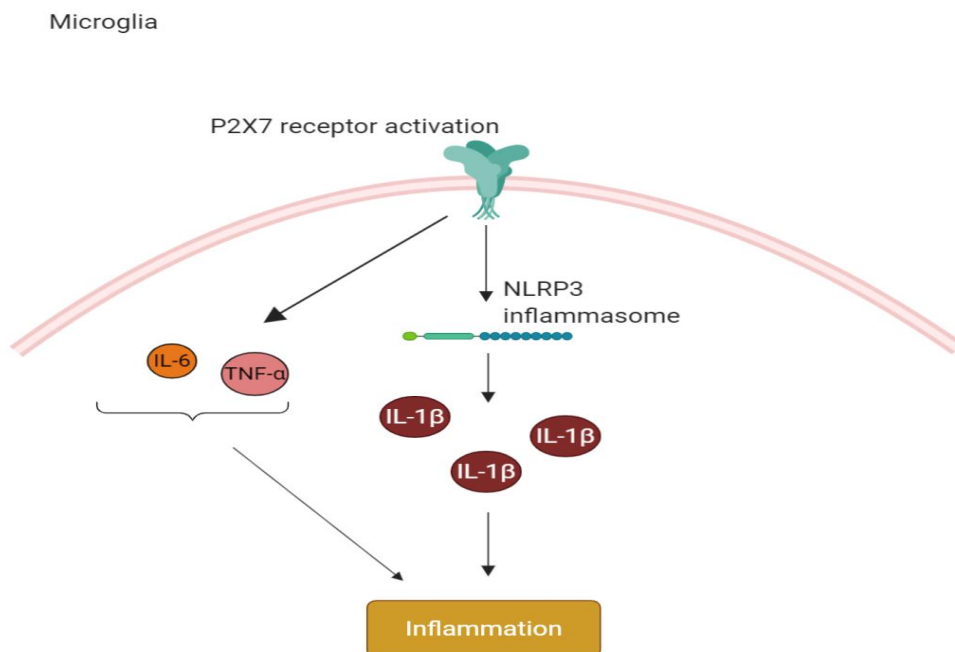
Neuroinflammation is a protective mechanism to restore the damaged glial cells and neuronal cells in the CNS. Mild activation of microglia and astrocytes usually indicates neuroprotection and improves the early symptoms of neurodegeneration, strong activation of inflammatory mechanisms, however, leads to overproduction of cytokines, which promotes neurodegeneration<sup>25</sup>. Excessive inflammatory responses are detrimental in the brain, since neurons are damaged or degenerated, they are unable to be repaired or regenerated in the CNS<sup>26</sup>. Chronic neuroinflammation plays an important role in the onset and progression of neurodegenerative diseases such as Parkinson's disease (PD), Alzheimer's disease (AD), and Multiple Sclerosis (MS)<sup>27</sup>. Depression also produces neuroinflammation by increasing proinflammatory mediators released from inflammatory cells<sup>28</sup>.

An important factor for neuroinflammation is the activation of the P2X7-NLRP3 inflammasome cascade. The NLRP3 is part of the inflammasome family, which cleaves multiple substrates implicated in inflammation or cell death<sup>29</sup>. NLRP3 is expressed in both peripheral immune and microglial cells. Under physiological conditions, inflammasome-induced IL-1 $\beta$  expression is essential as trophic support to promote long-term potentiation and memory formation<sup>30</sup>. However, at high levels, IL-1 $\beta$  becomes excitotoxic, alters synaptic activity<sup>31</sup>, and modulates monoaminergic and glutamatergic synaptic transmission<sup>32</sup>.

The P2X7 receptor expression has been reported in almost all cellular lineages making up the brain tissue, including oligodendrocytes, astrocytes, microglia, and neurons<sup>33,34</sup>. In addition, the stimulation of P2X7 receptors participates in the synthesis/secretion of inflammatory cytokines and is a key factor in neuroinflammation<sup>35,36</sup>. P2X7 receptors improve the inflammatory cytokine response performed by IL-1 $\beta$ , IL-6, and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ )<sup>37</sup>. Collectively, these data suggest that the mechanism of microglial activation via P2X7-NLRP3 inflammasome cascade is an explanation for this neurobiological process in the pathophysiology of depression<sup>38</sup>.

It has been previously shown that major depressive disorder (MDD) patients exhibited higher levels of IL-6 and TNF- $\alpha$  in the blood and cerebrospinal fluid<sup>39,40</sup>. In addition, the expression of IL-1 $\beta$ , IL-6, and TNF- $\alpha$  was also increased in postmortem brain samples from suicide victims that suffered from depression<sup>41</sup>.

Another study<sup>42</sup> investigated the levels of the pro-inflammatory and anti-inflammatory cytokines in antidepressant drug-naïve patients with MDD. Compared to healthy controls, patients with MDD had significantly higher levels of IL-1 $\beta$ , IL-10, and TNF- $\alpha$ , but significantly lower levels of IL-8. There were linear correlations between IL-1 $\beta$ , TNF- $\alpha$ , and IL-8, and the severity of depression, as well as between IL-8 and anxiety level in patients with a comorbid anxiety disorder. Compelling evidence suggests that stress-evoked mood disorders are partially related to the dysfunction of the inflammatory system, and the elevation of inflammatory components contributes to depression and may even worsen it further<sup>43,44,45</sup>. The cascade of the inflammatory process is shown in Figure 2.



Created in BioRender.com 

Fig. 2. Activation of the neuroinflammatory cascade of the P2X7-NLRP3 inflammasome participating in the neurobiological process in the pathophysiology of depression.

## **Chronic stress, affective disorder and cognitive impairment**

Persons with mood disorders have changes in immunological biomarkers and premature senescence<sup>46</sup>. Faced with external stressors, the brain activates its stress system causing neuroendocrine changes in an attempt to reach homeostasis and readaptation. However, if these adaptive responses are inadequate or are prolonged over time, exaggerated inflammatory reactions may arise causing damage to the organism<sup>46</sup>.

In stressful situations, the hypothalamus secretes the corticotrophin-releasing hormone (CRH), stimulating the release of adrenocorticotrophic hormone (ACTH) by the pituitary gland and resulting in the adrenal glucocorticoid: cortisol<sup>47</sup>. The exacerbated inflammatory reaction may be associated with a dysfunction in the HPA axis (hypothalamus-pituitary-adrenal) leading to hypercortisolemia<sup>48</sup>. Through chronic stress and the inflammatory processes generated from it, there is a loss in the negative feedback of the HPA axis during the production of cortisol leading to the resistance of glucocorticoid receptors and thus to the hyperactivation of the axis<sup>17</sup>.

Chronic stress affects not only mood and affection but also impairs cognitive performance via learning and memory<sup>47</sup>. Studies show that continuous exposure to stressors increases the plasma level of tumor necrosis factor (TNF)<sup>50,51,52</sup> and associates inflammatory cytokines with damage in memory and learning<sup>53</sup>.

In this sense, glucocorticoids play an important role in metabolic, immunological and behavioral regulation<sup>47,48</sup> and changes in their levels are associated with autoimmune, somatic, metabolic and psychiatric diseases, such as depression<sup>48</sup>.

## **Gene network analyzes**

To explore genes that encode proteins associated with depression and cognitive decline, the GeneCards database (<https://www.genecards.org>) was used. Based on the literature on the interaction between depression and cognitive decline, the terms pro-opiomelanocortin (POMC), corticotrophin-releasing hormone (CRH), TNF- $\alpha$  and BDNF have been inserted into GeneCards, resulting in the recovery of other genes, such as Apolipoprotein E (APOE), Microtubule-associated protein tau (MAPT), Sodium-dependent serotonin transporter (SLC6A4), 5-hydroxytryptamine receptor 2A (HTR2A), Catechol O-methyltransferase (COMT).

The genes mentioned above were inserted into the String database (<https://string-db.org>) that addresses the genes and their interactions in a network. The species considered for this study were Homo Sapiens. Significant specific and non-specific interactions were found in the genetic interaction network generated in the String (PPI enrichment p-value:  $7.08e-14$ ). Each protein-protein bond receives a certain “score” that indicates the veracity of the interaction according to the published evidence. The interactions found had scores above 0.40 and are classified as average confidence. The links found were analyzed according to the colors of the interaction network symbols, in which the colored lines show molecular interactions, while the gray lines show associations co-mentioned in the PubMed abstracts. Thus, the blue lines signify a direct link between the genes. Figure 3 shows the genes found in the String.

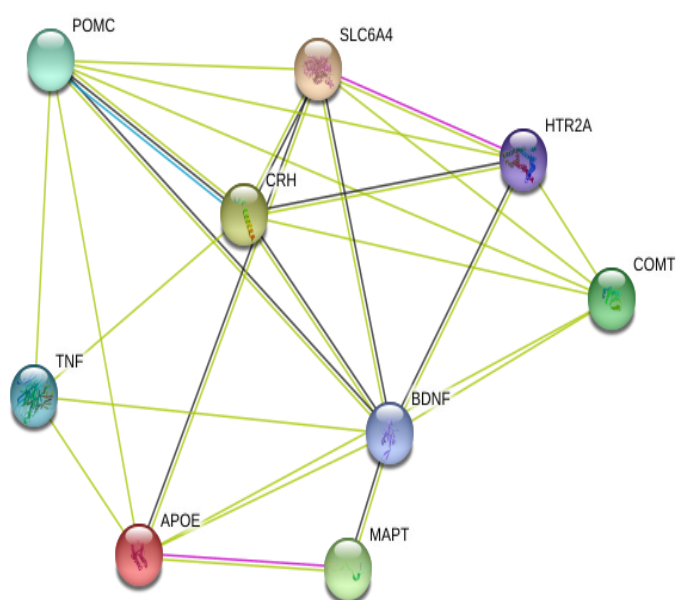


Fig. 3. Genes network found at String. Bindings among genes POMC, TNF, APOE, CRH, MAPT, BDNF, SLC6A4, HTR2A, COMT is shown. Color lines show molecular interactions, while grey lines show no molecular interactions but associations co-mentioned in PubMed abstracts. Yellow lines: regulation of transcription. Purple line: catalysis. Black line: reaction. Arrows: activation. Circle: unspecified interaction and red line: inhibition

For the purpose of more detailed analysis, molecular interactions were considered. Since the study mainly addresses the hypothesis of downregulation in the BDNF, the direct interactions with it are highlighted, as shown in Figure 4 below:

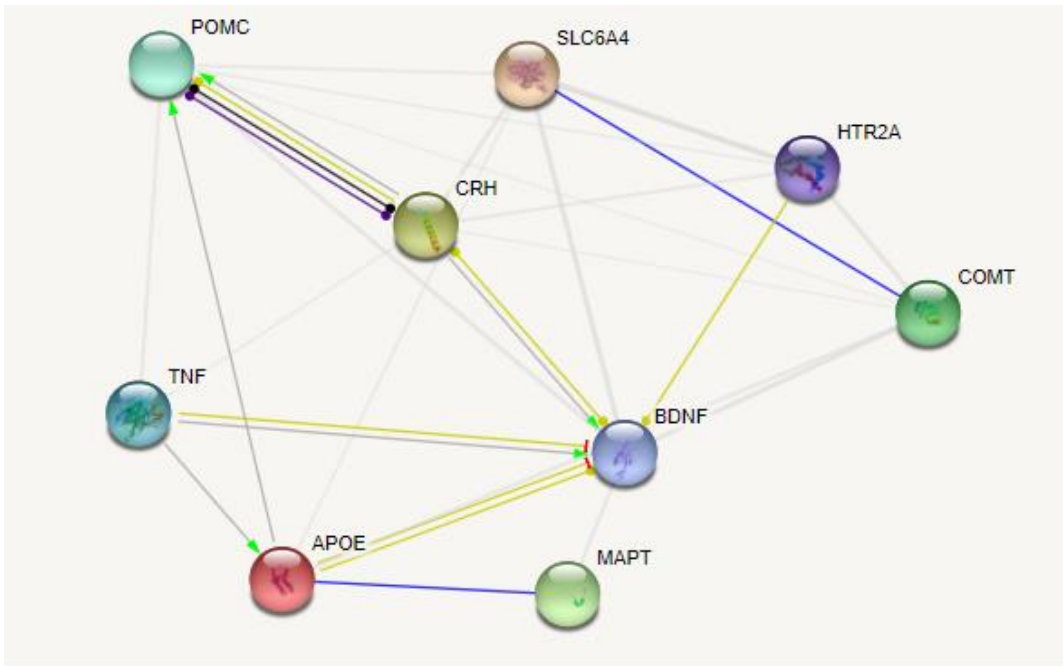


Fig. 4. Specific network among genes related BDNF found at String. Blue lines mean direct binding among genes. Yellow lines: transcriptional regulation. Purple line: catalysis. Black line: reaction. Grey lines: show no molecular interactions. Arrows: activation. Circle: unspecified interaction. Red trace: inhibition.

Evidence suggests that the immune system plays a large role in modulating memory, learning, and mood<sup>53</sup>. Through the high production of proinflammatory cytokines and prostaglandins, imbalances in the neurophysiological actions of immunological processes cause damage to both cognition and the psychological scope<sup>46,53,54</sup>.

Among morphological and functional changes during chronic inflammatory processes, studies have already revealed that in patients with cognitive decline or mood disorders, levels of the Brain-Derived Neurotrophic Factor (BDNF) are reduced when compared to people without these pathologies<sup>56</sup>. Such neurotrophin plays a central role in neurogenesis, synaptogenesis, and neuronal integrity and is a potential neurobiological mediator of processes dependent on external stimuli such as life, memory and learning experiences<sup>55,57</sup>.

Studies have already shown that continuous exposure to stress increases levels of pro-inflammatory cytokines such as TNF- $\alpha$  in the hippocampus and plasma<sup>48,58,59</sup>. In the silica analysis of the molecular action, after the TNF transcription process, it generates an inhibitory effect on the BDNF (score: 0.45). Such analysis is in line with other results that bring the effect of reducing the level of BDNF in the increased presence of TNF<sup>60</sup>.



In the same sense, Apolipoprotein E (APOE E2, E4) and BDNF are associated in relation to cognition (score: 0.45). The APOE gene is presented in the literature as a predictor of cognitive impairment, which may increase or decrease the risk of dysfunction<sup>61</sup>. Its actions are related to axonal growth and formation, synaptic remodeling and neurogenesis of the hippocampus, directly impacting the formation of memory, learning and neuronal repair<sup>62</sup>. The APOE e4 allele of this gene is linked to an increased risk of developing mild cognitive decline until Alzheimer's disease (AD)<sup>61</sup>. However, the presence of the APOE e2 allele has protective and delaying effects on AD<sup>61</sup>. Thus, it is possible that the double interaction between APOE and BDNF, shown in the computational model, makes reference to the two alleles previously mentioned since after the transcription of the APOE gene, an inhibitory and a non-specific action on BDNF is seen.

Regarding the association identified between the HTR2A gene and BDNF (score: 0.40), the influence of serotonin receptor agonists on BDNF expression is already well established<sup>63</sup>. During a stressful event, the HPA axis and the stress response can be modulated via the serotonergic pathway<sup>64</sup>. In this case, serotonin (5HT) interacts with the pre and postsynaptic receptors, respectively 5HT1A and 5HT2A, mediating the regulation of BDNF and contributing to the effects of stress<sup>65</sup>. It is known that the interaction occurs after transcriptional regulation, but the non-specificity of the action between the receptor and neurotrophin may be due to the fact that it depends on the location in the brain since: in a stress situation, the 5HT2A receptor agonist decreases the expression of BDNF mRNA in the dentate gyrus of the hippocampus and in the parietal cortex and in other neocortical areas there is an increase in this expression<sup>63</sup>.

However, the association that is most evident is between CRH, POMC and BDNF, with an expression score of 0.53 and an activation score of 0.56 between CRH and BDNF. It is known that the synthesis and secretion of CRH are dysfunctional due to stressful situations in the HPA axis<sup>66</sup>. Since the CRH acts on the anterior lobe of the pituitary gland to initiate the synthesis of the POMC protein<sup>67</sup>, it can cause changes in its transcriptional regulation (score: 0.64), in addition to a bidirectional interaction in the process of catalysis (score: 0.91) or reaction (score: 0.91). However, the type of interaction suffered is not specified by the model. Likewise, there is a bidirectional interaction between CRH and BDNF that is also nonspecific, but that can point to the bidirectional relationship between depression and inflammation<sup>46</sup>. Such bidirectional relationship between depression and inflammation is also seen between the HPA axis and the TNF- $\alpha$  system since the TNF system stimulates the

HPA axis while the axis suppresses the activity of the TNF system<sup>68</sup>, thus reinforcing this hypothesis feedback. Cytokines, such as TNF disrupt the interaction of corticosteroids and its receptors, increasing hypercortisolemia.

### **Final considerations**

Depression is one of the most prevalent and disabling mental illnesses in the world<sup>69</sup>, however, its molecular mechanism is not completely unveiled. In the older adult, depression is accompanied by structural and functional changes in the frontal lobes and their connections with the limbic and striated system, resulting in 30-40% of older persons with depression and undiagnosed who have cognitive impairments<sup>70</sup>.

Thus, unraveling the possible molecular interactions can help in the diagnostic accuracy and in the treatment offered<sup>57</sup>. The hypothesis of this study is based on clinical observations and analysis on silica, pointing out that the interaction between depression and cognitive decline is mediated mainly by corticosteroids and cytokines, especially CRH, POMC and TNF. Thus, these mediators would cause a downregulation in the BDNF.

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## 6 CONCLUSÕES

O primeiro trabalho reforça a importância da estimulação cognitiva e física de idosos institucionalizados uma vez que a memória de curto prazo, a memória de trabalho e a capacidade cardiorrespiratória estão associadas ao desenvolvimento de sintomas depressivos nessa população. No que se refere à memória de curto prazo, seu déficit pode estar associado a um risco cerca de 5 vezes maior de desenvolver sintomas depressivos, direcionando maior atenção para o fortalecimento dessa função cognitiva.

O segundo trabalho reforça a hipótese, baseada em observações clínicas e análises *in sílico*, que a interação entre depressão e declínio cognitivo é mediada principalmente por corticosteroides e citocinas, principalmente CRH, POMC e TNF, e que esses mediadores causariam um *downregulation* no BDNF.



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**APÊNDICE(S)**

APÊNDICE A – Termo de Consentimento Livre e Esclarecido

**CONSENTIMENTO LIVRE E ESCLARECIDO- Voluntário da Pesquisa**

**Nome do voluntário:** \_\_\_\_\_

**RG:** \_\_\_\_\_ **Data de nascimento** \_\_\_/\_\_\_/\_\_\_

**Responsável legal (quando for o caso)** \_\_\_\_\_

**RG do responsável legal:** \_\_\_\_\_

**Projeto: EFEITO DE DIFERENTES PROGRAMAS DE EXERCÍCIO FÍSICO SOBRE O PERFIL INFLAMATÓRIO, RESPOSTA HORMONAL, DESEMPENHO FUNCIONAL E SAÚDE MENTAL DE IDOSOS INSTITUCIONALIZADOS E NÃO INSTITUCIONALIZADOS**

**Objetivo:** O(a) senhor(a) está sendo convidado para participar de uma pesquisa para avaliar as possíveis modificações cognitivas, comportamentais, motoras e funcionais de idosos, além de alterações em componentes do sangue e da saliva. Nesta pesquisa, caso o(a) senhor(a) seja residente em instituição de longa permanência para idosos (ILPI), todos os procedimentos serão realizados em sua instituição. Portanto, não precisará deslocar-se a outro local para participar.

Haverá testes de avaliação de memória, atenção, concentração, capacidades simples de cálculo e entendimento de tarefas, além de perguntas sobre as suas atividades de vida diária, testes de equilíbrio, marcha (caminhada), força de preensão (força na mão) e coleta de sangue e saliva. A pesquisa não envolverá qualquer custo ao senhor(a). Para que haja segurança na sua participação, o médico responsável pela ILPI deverá autorizar seu engajamento na pesquisa.

**Riscos:** Os riscos envolvidos nesses procedimentos são baixos, mas podem ocorrer alterações da pressão arterial como aumento ou diminuição da mesma durante os testes físicos, podendo ocasionar tontura, enjoo e dores na cabeça. As avaliações de testes psicológicos não trazem desconfortos a não ser algum problema com a sua própria avaliação do desempenho. As coletas de sangue e saliva serão realizadas por profissionais qualificados, o que reduz o risco de intercorrências.

**Atendimento:** Caso o(a) senhor(a) venha a apresentar algum sintoma ou problema durante as avaliações, elas serão interrompidas e o(a) senhor(a) será encaminhado(a) à emergência do hospital mais próximo. Além disso, o médico responsável será comunicado imediatamente.

**Confidencialidade:** Todas as informações coletadas no estudo são confidenciais e o seu nome não será divulgado em momento algum. Toda e qualquer informação será utilizada somente para fins acadêmicos.

**Benefícios:** A sua participação poderá trazer benefícios através dos resultados das avaliações que serão anexadas ao seu prontuário para que a equipe que lhe atende possa fazer o necessário a partir daí. Além disso, o projeto contribuirá para a divulgação de novos recursos no atendimento ao idoso.

**Liberdade para interromper a participação:** A qualquer momento o(a) senhor(a) pode interromper sua participação no presente estudo sem que isso implique em prejuízo na sua relação com a instituição ou com os profissionais da mesma ou qualquer outro tipo de prejuízo.

**Direito de imagem:** estou ciente de que poderão ser realizadas fotografias e filmagens de minha pessoa e autorizo a reprodução das mesmas para fins acadêmicos.

Eu afirmo que li (ou que me foram lidas), entendi as informações do estudo acima citado e estou suficientemente informado a respeito do mesmo.

**Identificação do responsável pelo estudo:**

**Prof. Renato Sobral Monteiro Junior** (CREF 024869-G/RJ) – Doutorando (Programa de Pós-graduação Stricto Sensu em Neurologia – Neurociências da Universidade Federal Fluminense)

E-mail: renatoprofedfis@hotmail.com

Estrada do Cabuçu de Baixo, 800, Guaratiba, Rio de Janeiro, RJ, BL 25 CS 15.

Fone: (21) 9244-7872

**Dr. Osvaldo José Moreira Nascimento** – Coordenador do Programa de Pós-graduação Stricto Sensu em Neurologia – Neurociências da Universidade Federal Fluminense

Centro de Ciências Médicas, Hospital Universitário Antônio Pedro.

Rua Marques do Paraná 330 (Hospital Universitário Antonio Pedro)

Centro, Niterói, RJ - Brasil

Telefone: (021) 22354855

Fax: (021) 22354855

**Comitê de Ética em Pesquisa da UFF**

Rua Marquês do Paraná 303, 4º andar, prédio anexo ao Hospital Universitário Antônio Pedro.

Telefone: (21) 2629-9189 (21) 7621-2867

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 Assinatura do participante ou responsável legal

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 Testemunha

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 Assinatura do pesquisador

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 Data

## APÊNDICE B – Termo de Consentimento Livre e Esclarecido

### CONSENTIMENTO LIVRE E ESCLARECIDO PARA PARTICIPAÇÃO EM PESQUISA

**Título da Pesquisa:** EFEITO DO EXERCÍCIO BASEADO EM REALIDADE VIRTUAL NA SAÚDE MENTAL, DESEMPENHO FÍSICO, QUALIDADE MUSCULAR, PERFIL INFLAMATÓRIO E ATIVIDADE CEREBRAL DE IDOSOS INSTITUCIONALIZADOS: EXPERIMENTO CONTROLADO, RANDOMIZADO E DUPLO-CEGO

**Instituição Promotora:** Universidade Estadual de Montes Claros – UNIMONTES

**Patrocinador:** não se aplica

**Coordenador:** Renato Sobral Monteiro Junior


**Atenção:**

Antes de aceitar participar desta pesquisa, é importante que o responsável pela Instituição leia e compreenda a seguinte explicação sobre os procedimentos propostos. Esta declaração descreve o objetivo, metodologia/ procedimentos, benefícios, riscos, desconfortos e precauções do estudo. Também descreve os procedimentos alternativos que estão disponíveis e o seu direito de interromper o estudo a qualquer momento. Nenhuma garantia ou promessa pode ser feita sobre os resultados do estudo.

1. **Objetivo:** O objetivo do presente projeto é investigar os efeitos do treinamento físico com e sem realidade virtual na saúde mental, desempenho físico e perfil inflamatório de idosos institucionalizados.
  
2. **Metodologia/ procedimentos:** O (a) senhor(a) está sendo convidado para participar de uma pesquisa para avaliar as possíveis modificações cognitivas, comportamentais, motoras e funcionais de idosos, além de alterações em componentes do sangue e saliva. Além disso, serão realizadas imagens por meio de ultrassonografia (imagens de tecidos muscular, adiposo e conectivo ou conjuntivo; e fluxo sanguíneo cerebral) e tomografia. Nesta pesquisa, caso o(a) senhor(a) seja residente em instituição de longa permanência para idosos (ILPI), todos os procedimentos serão realizados em sua instituição. Portanto, não precisará deslocar-se a outro local para participar.  
Haverá testes de avaliação de memória, atenção, concentração, capacidades simples de cálculo e entendimento de tarefas, além de perguntas sobre as suas atividades de vida diária, testes de equilíbrio, marcha (caminhada), força de preensão (força na mão), coleta de sangue e saliva, exames de ultrassonografia e eletroencefalografia. A pesquisa não envolverá qualquer custo ao senhor(a). Para que haja segurança na sua participação, o médico responsável pela ILPI deverá autorizar seu engajamento na pesquisa.
  
3. **Justificativa:** Pretende-se iniciar a construção de um conhecimento sólido sobre as estratégias de intervenção com exercício físico para idosos institucionalizados, de modo que possam ser detectadas possíveis alterações positivas:
  - a) Nos componentes da função motora
  - b) Na autonomia funcional

- c) Nas funções cognitivas
- d) Nos aspectos comportamentais
- e) Nos aspectos fisiológicos

- 4. Benefícios:** A sua participação poderá trazer benefícios através dos resultados das avaliações que serão anexadas ao seu prontuário para que a equipe que lhe atende possa fazer o necessário a partir de ent. Além disso, o projeto contribuirá para a divulgação de novos recursos no atendimento ao idoso.
- 5. Desconfortos e riscos:** Estou ciente de que os riscos associados a minha participação no projeto estão relacionados às possíveis respostas decorrentes dos testes de capacidade funcional ou das sessões de treinamento, como pequena elevação da frequência cardíaca e da pressão arterial. Em todas as avaliações estarão presentes profissionais de saúde capacitados para o suporte básico à vida, sendo de total responsabilidade do pesquisador principal o suporte a intercorrências durante os procedimentos, incluindo acompanhamento.
- 6. Danos:** Não se aplica.
- 7. Metodologia/ procedimentos alternativos disponíveis:** A qualquer momento o(a) senhor(a) pode interromper sua participação no presente estudo sem que isso implique em prejuízo na sua relação com a instituição ou com os profissionais da mesma ou qualquer outro tipo de prejuízo.
- 8. Confidencialidade das informações:** estou ciente de que poderão ser realizadas fotografias e filmagens de minha pessoa e autorizo a reprodução das mesmas para fins acadêmicos.
- 9. Consentimento:** Li e entendi as informações precedentes. Tive oportunidade de fazer perguntas e todas as minhas dúvidas foram respondidas a contento. Este formulário está sendo assinado voluntariamente por mim, indicando meu consentimento para a participação desta instituição/ empresa, até que eu decida o contrário. Receberei uma cópia assinada deste consentimento. E que o mesmo só poderá ser aprovado nesta instituição após aprovação no Comitê de Ética da Instituição fomentadora da pesquisa.

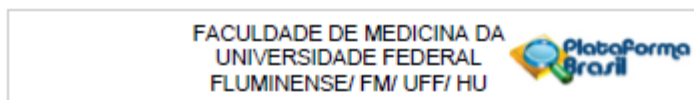
Nome do participante	Assinatura do participante	Data
Nome da testemunha	Assinatura da testemunha	Data
Renato Sobral Monteiro Junior		31/08/2017
Nome do coordenador da pesquisa	Assinatura do coordenador da pesquisa	Data

**ENDEREÇO DO PESQUISADOR:** Rua São Bento, 119, Todos os Santos – apt. 302, Montes Claros/MG, 39400-627

**TELEFONE:** (38) 988042715

## ANEXO(S)

### ANEXO A – Parecer do Comitê de Ética e Pesquisa/Universidade Federal Fluminense



#### PARECER CONSUBSTANCIADO DO CEP

##### DADOS DO PROJETO DE PESQUISA

**Título da Pesquisa:** Exercício físico, saúde física e mental de idosos em instituições de longa permanência.

**Pesquisador:** Renato Sobral Monteiro Junior

**Área Temática:**

**Versão:** 4

**CAAE:** 21556613.4.0000.5243

**Instituição Proponente:** Programa de Pós Graduação em Neurologia / Neurociências

**Patrocinador Principal:** Financiamento Próprio

##### DADOS DO PARECER

**Número do Parecer:** 1.178.067

**Data da Relatoria:** 07/08/2015

##### Apresentação do Projeto:

Trata-se de uma emenda, justificada pela "Adição de um membro à equipe de pesquisa e armazenamento dos dados das avaliações para posterior publicação."

As intervenções sofridas pelos participantes da pesquisa são: jogos com movimentos corporais interagindo com realidade virtual em duas dimensões e jogos com movimentos corporais sem interação com realidade virtual

##### Objetivo da Pesquisa:

**Objetivo Primário:**

Investigar os efeitos do treinamento físico com e sem realidade virtual nas funções cognitivas e executivas de idosos institucionalizados e não institucionalizados.

**Objetivo Secundário:**

Investigar os efeitos do treinamento com e sem realidade virtual na autonomia funcional e equilíbrio, no perfil inflamatório, força e aspectos comportamentais de idosos institucionalizados e não institucionalizados.

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Continuação do Parecer: 1.173.067

**Avaliação dos Riscos e Benefícios:**

**Riscos:**

Todo programa de intervenção por atividade física gera um risco. Entretanto não é comum ocorrerem eventos adversos durante a prática de exercícios. Por se tratarem de idosos, todo o suporte necessário será fornecido, como a supervisão de até 3 profissionais por atendimento, além da presença de equipe multiprofissional nos locais, o que garante a redução dos riscos ao máximo. Os possíveis eventos adversos são: tontura e visão turva.

**Benefícios:**

De acordo com o cenário apresentado e principalmente considerando a necessidade da saúde pública para elaboração de novas estratégias com positivo custo x benefício no atendimento ao idoso, o presente projeto apresenta uma nova perspectiva de abordagem no treinamento preventivo e tratamento de idosos institucionalizados. Além disso, poucos estudos na literatura investigaram os efeitos desse método de exercícios nessa

população. Os estudos com essa característica avaliaram componentes físicos isoladamente, o que não necessariamente significa melhora da autonomia funcional. O cruzamento das informações associadas ao desempenho funcional e aos aspectos neuropsicológicos e comportamentais pode aumentar a consistência dos achados e relacioná-los aos efeitos positivos do exercício físico no cérebro, especialmente se correlacionados aos mecanismos fisiológicos. No Brasil, até o momento nenhum estudo verificou esses parâmetros em idosos institucionalizados, o que aumenta a relevância do presente projeto, uma vez que esta iniciativa visa melhorar a saúde dos idosos com a perspectiva de reduzir os gastos públicos com internação e tratamentos dispendiosos. Este projeto pretende iniciar a construção de um conhecimento sólido sobre as estratégias de intervenção com exercício físico para idosos institucionalizados, de modo que possam ser detectadas possíveis alterações positivas: a) Nos componentes da função motora b) Na autonomia funcional c) Nas funções cognitivas d) Nos aspectos comportamentais e) Nos aspectos fisiológicos.

**Comentários e Considerações sobre a Pesquisa:**

O projeto foi aprovado em reunião do colegiado em 31 de outubro de 2013, conforme Parecer Consubstanciado do CEP 458834 de 15 de novembro de 2013. O pesquisador apresenta uma Emenda, devidamente justificada, não alterando em nada o escopo do trabalho, incluindo as

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Continuação do Parecer: 1.173.007

Intervenções anteriormente analisadas por este CEP:

Considerações sobre os Termos de apresentação obrigatória:

Foi apresentado o termo referente a emenda

Recomendações:

-

Conclusões ou Pendências e Lista de Inadequações:

Aprovado

Situação do Parecer:

Aprovado

Necessita Aprovação da CONEP:

Não

Considerações Finais a critério do CEP:

NITERÓI, 10 de Agosto de 2015

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Assinado por:  
ROSANGELA ARRABAL THOMAZ  
(Coordenador)

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ANEXO B – Parecer do Comitê de Ética e Pesquisa/Universidade Estadual de Montes Claros

UNIVERSIDADE ESTADUAL DE  
MONTES CLAROS -  
UNIMONTES



**PARECER CONSUBSTANCIADO DO CEP**

**DADOS DO PROJETO DE PESQUISA**

**Título da Pesquisa:** EFEITO DO EXERCÍCIO BASEADO EM REALIDADE VIRTUAL NA SAÚDE MENTAL, DESEMPENHO FÍSICO, QUALIDADE MUSCULAR, PERFIL INFLAMATÓRIO E ATIVIDADE CEREBRAL DE IDOSOS INSTITUCIONALIZADOS: EXPERIMENTO CONTROLADO, RANDOMIZADO E DUPLO-CEGO

**Pesquisador:** Renato Sobral Monteiro Junior

**Área Temática:**

**Versão:** 2

**CAAE:** 75755917.0.0000.5146

**Instituição Proponente:** Universidade Estadual de Montes Claros - UNIMONTES

**Patrocinador Principal:** Financiamento Próprio

**DADOS DO PARECER**

**Número do Parecer:** 2.398.863

**Apresentação do Projeto:**

Caracteriza-se por ser um experimento controlado e randomizado com alocação de grupos paralelos. A população deste estudo será composta por idosos de três instituições de longa permanência (ILPI) na cidade de Montes Claros (MG) e de outras ILPIs de cidades vizinhas, caso necessário. Critério de Inclusão: ser residente de instituição de longa permanência para idosos. Critério de Exclusão: serão excluídos os indivíduos que apresentarem: a) histórico progressivo de cardiopatia grave, sem liberação médica para realizar esforço físico; b) lesões musculoesqueléticas agudas que impossibilite a realização dos exercícios; c) sequelas graves de acidente vascular encefálico ou doenças neurodegenerativas em estágio avançado; e d) capacidade de entender e atender comandos simples que impossibilite a aplicação da intervenção. Idosos que não tenham condição de realizar as atividades propostas apenas participarão do levantamento de informações epidemiológicas. As intervenções serão aplicadas em dois grupos, sendo: 1) exercícios com realidade virtual e 2) exercícios sem realidade virtual. A duração do programa será de dois meses (2 vezes por semana) e os idosos serão avaliados no início e no final do estudo. As variáveis de interesse serão obtidas com os seguintes instrumentos: coleta e análise de sangue, posturografia estática computadorizada, análise cinemática da marcha, testes funcionais, avaliação neuropsicológica, medida de fluxo sanguíneo cerebral e espessura muscular (ambas com

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Telefone: (35)3225-8100 Fax: (35)3225-8103 E-mail: smwcosta@gmail.com

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Continuação do Parecer: 2.396.003

ultrassonografia) e tomografia computadorizada de crânio. A duração do projeto será de quatro anos. Testes e Procedimentos que serão realizados: Bioimpedância que consiste na aplicação de uma corrente elétrica imperceptível de 500 a 800 mA e 50 kHz que é aplicada pelos eletrodos distais na mão e no pé, e captada pelos eletrodos proximais, no pulso e tornozelo. Antropometria: a coleta dos dados referente à composição corporal será realizada uma semana antes dos participantes ingressarem no programa. Será avaliada a massa corporal e estatura. Fragilidade: a síndrome da fragilidade ocorre por meio de um "fenótipo" com cinco componentes mensuráveis objetivamente. Função cognitiva global será avaliada pelo Mini-mental State Examination 7, 19. Este exame é composto por 11 itens, que são divididos em duas seções: 1) respostas verbais, orientação, memória e atenção; e 2) leitura e escrita. Função executiva será avaliada pelo Floor Maze Test 20. Trata-se de um teste que avalia a capacidade de memória episódica, planejamento e tomada de decisão, em que o indivíduo elabora uma estratégia de percurso para um labirinto bidimensional. Além disso, será aplicado o Trail Making Test A, nesse teste são apresentados círculos numerados de 1 a 25, distribuídos aleatoriamente, onde o sujeito deve ligar os círculos em ordem crescente. Para a Memória será utilizado o Digit Span Test. Esse instrumento é um subteste da escala de inteligência Wechsler para adultos, WAIS-R. Espessura muscular: será utilizado o ultrassom Logic E da marca GE Healthcare com as funções: Power Doppler Imaging, Power Doppler Imaging, que permite detectar fluxo sanguíneo lento, Easy 3D, que permite realizar exames e reconstruir uma imagem volumétrica e a função B-Color que permite adicionar tons de cor para melhorar a amplificação dos contrastes. Fluxo sanguíneo cerebral: durante o teste os indivíduos permanecerão sentados a 45°. As sessões de Doppler transcraniano funcional serão realizadas com aparelho GE LOGIQ P5, com transdutor convexo 2 a 5 MHz-4C, transdutor endocavitário 4 a 11 MHz-E8C e transdutor linear 4 a 13 MHz-12L. Para avaliação do fluxo sanguíneo cerebral será utilizado o protocolo de Doppler transcraniano. Desempenho funcional: tratamento dos dados medidas de tendência central e dispersão de acordo com a natureza dos dados paramétricos ou não paramétricos.

#### Objetivo da Pesquisa:

O objetivo do projeto é investigar os efeitos do treinamento físico com e sem realidade virtual na saúde mental, desempenho físico e perfil inflamatório de idosos institucionalizados.

#### Avaliação dos Riscos e Benefícios:

Riscos:

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Continuação do Parecer: 2.390.003

O pesquisador descreve que existe um pequeno risco relacionado às possíveis respostas decorrentes dos testes de capacidade funcional ou das sessões de treinamento como: pequena elevação da frequência cardíaca e da pressão arterial. Em todas as avaliações estarão presentes profissionais de saúde capacitados para o suporte básico à vida, sendo de total responsabilidade do pesquisador principal o suporte a intercorrências durante os procedimentos. O pesquisador descreve ainda que os idosos somente poderão participar do estudo mediante liberação do médico responsável de cada instituição.

**Benefícios:**

A participação do idoso institucionalizado poderá trazer benefícios através dos resultados das avaliações que serão anexadas ao seu prontuário para que a equipe que lhe atende possa fazer o necessário a partir desses dados. Além disso, o projeto contribuirá para a divulgação de novos recursos no atendimento ao idoso institucionalizado.

**Comentários e Considerações sobre a Pesquisa:**

Pesquisa relevante que poderá contribuir para estabelecer novas direções de pesquisa na área, de modo a prestar um cuidado mais direcionado e a divulgação de novos conhecimentos.

**Considerações sobre os Termos de apresentação obrigatória:**

Adequados

**Recomendações:**

Apresentação de relatório final por meio da plataforma Brasil, em "enviar notificação".

**Conclusões ou Pendências e Lista de Inadequações:**

Aprovado.

**Considerações Finais a critério do CEP:**

O projeto respeita os preceitos éticos da pesquisa em seres humanos, sendo assim somos favoráveis a aprovação do mesmo.

Este parecer foi elaborado baseado nos documentos abaixo relacionados:

Tipo Documento	Arquivo	Postagem	Autor	Situação
----------------	---------	----------	-------	----------

Endereço: Av. Dr. Rui Braga s/n-Camp. Univers. Prof. Darcy Ribeiro  
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Continuação do Parecer: 2.390.003

Informações Básicas do Projeto	PB_INFORMAÇÕES_BÁSICAS_DO_P ROJETO_984466.pdf	31/10/2017 12:55:29		Acerto
Declaração de Instituição e Infraestrutura	TCI2510.pdf	31/10/2017 12:55:05	LUCIANA MENDES OLIVEIRA	Acerto
TCE / Termos de Assentimento / Justificativa de Ausência	TCLEMOD.docx	31/10/2017 12:54:42	LUCIANA MENDES OLIVEIRA	Acerto
Folha de Rosto	FOLHADEROSTO.pdf	04/09/2017 20:27:01	LUCIANA MENDES OLIVEIRA	Acerto
Declaração de Pesquisadores	TERMODERESPONSABILIDADE.doc	31/08/2017 22:32:59	LUCIANA MENDES OLIVEIRA	Acerto
Projeto Detalhado / Brochura Investigador	PROJETOILPisCEFFINAL.doc	31/08/2017 21:01:48	LUCIANA MENDES OLIVEIRA	Acerto

Situação do Parecer:

Aprovado

Necessita Aprovação da CONEP:

Não

MONTES CLAROS, 25 de Novembro de 2017

Assinado por:  
**SIMONE DE MELO COSTA**  
(Coordenador)

Endereço: Av. Dr. Ruy Braga s/n-Camp. Univ. Prof. Darcy Ribeiro  
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## ANEXO C – instrumentos de avaliação

## Escala de Depressão Geriátrica – GDS-30

## ESCALA GERIÁTRICA DE DEPRESSÃO (GDS 30)

Yesavage e tal (1983) "Development and validation of geriatric depression scale" J. Psychiatric Res. 17:37-49

NOME: \_\_\_\_\_

IDADE: \_\_\_\_\_ DATA DE NASCIMENTO: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

RESPONDA **SIM** OU **NÃO** CONSOANTE SE TEM SENTIDO DE HÁ UMA SEMANA PARA CÁ:

	S	N
01. Está satisfeito(a) com a sua vida?		1
02. Pôs de lado muitas das suas atividades e interesses?	1	
03. Sente a sua vida vazia?	1	
04. Fica muitas vezes aborrecido(a)?	1	
05. Tem esperança no futuro?		1
06. Anda incomodado(a) com pensamentos que não consegue afastar?	1	
07. Está bem disposto(a) a maior parte do tempo?		1
08. Tem medo que lhe vá acontecer alguma coisa de mal?	1	
09. Sente-se feliz a maior parte do tempo?		1
10. Sente-se muitas vezes desamparado(a)?	1	
11. Fica muitas vezes inquieto(a) e nervoso(a)?	1	
12. Prefere ficar em casa, em vez de sair e fazer coisas novas?	1	
13. Preocupa-se muitas vezes com o futuro?	1	
14. Acha que tem mais problemas de memória do que as outras pessoas?	1	
15. Pensa que é bom estar vivo(a)?		1
16. Sente-se muitas vezes desanimado(a) e abatido(a)?	1	
17. Sente-se inútil?	1	
18. Preocupa-se muito com o passado?	1	
19. Acha a vida interessante?		1
20. É difícil para si começar novas actividades?	1	
21. Sente-se cheio(a) de energia?		1
22. Sente que a sua situação é desesperada?	1	
23. Pensa que a situação da maioria das pessoas é melhor que a sua?	1	
24. Aflige-se muitas vezes com pequenas coisas?	1	
25. Sente muitas vezes vontade de chorar?	1	
26. Tem dificuldade em se concentrar?	1	
27. Gosta de se levantar de manhã?		1
28. Prefere evitar encontrar-se com muitas pessoas?	1	
29. Tem facilidade em tomar decisões?		1
30. O seu pensamento é tão claro como era dantes?		1



### Digit Span

Digits Forward		Pass-Fail
1	5-8-2	
	6-9-4	
2	6-4-3-9	
	7-2-8-6	
3	4-2-7-3-1	
	7-5-8-3-6	
4	6-1-9-4-7-3	
	3-9-2-4-8-7	
5	5-9-1-7-4-2-8	
	4-1-7-9-3-8-6	
6	5-8-1-9-2-6-4-7	
	3-8-2-9-5-1-7-4	
7	2-7-5-8-6-2-5-8-4	
	7-1-3-9-4-2-5-6-8	

Digits Backward		Pass-Fail
1	2-4	
	5-8	
2	6-2-9	
	4-1-5	
3	3-2-7-9	
	4-9-6-8	
4	1-5-2-8-6	
	6-1-8-4-3	
5	5-3-9-4-1-8	
	7-2-4-8-5-6	
6	8-1-2-9-3-6-5	
	4-7-3-9-1-2-8	
7	9-4-3-7-6-2-5-8	
	7-2-8-1-9-6-5-3	

**MEEM – Mini Exame do Estado Mental**

<b>Pontuação Máxima</b>	<b>Pontuação do paciente</b>	<b>MEEM</b>
5		<b>Orientação temporal:</b> Dia _____, mês _____, ano _____, dia da semana _____, horas _____ (0 a 5).
5		<b>Orientação espacial:</b> Local (específico) _____, País, _____, bairro _____, cidade _____, estado _____ (0 a 5).
3		<b>Registro:</b> repetir: cadeira _____, sapato _____, bicicleta _____.
5		<b>Cálculo:</b> $100-7=93$ _____; $93-7=86$ _____, $86-7=79$ _____; $79-7=72$ _____; $72-7=65$ _____ (0 a 5) <b>ou MUNDO:</b> O, D, N, U, M:
3		<b>Memória recente:</b> Quais foram as três palavras que te pedi para repetir? _____ (0 a 3)
9		<b>Linguagem:</b> <ul style="list-style-type: none"> <li>▪ Nomear dois objetos: caneta _____ e relógio _____ (0 a 2)</li> <li>▪ Repetir a expressão “nem aqui, nem ali, nem lá” (0 a 1) _____</li> <li>▪ Comando de três estágios: apanhar esta folha de papel com a mão direita, dobrar ao meio e coloca-la no chão _____ (0 a 3)</li> <li>▪ Ler e executar (feche os olhos) _____ (0 a 1)</li> <li>▪ Escrever uma frase completa _____ (0 a 1)</li> <li>▪ Copiar o diagrama: _____ (0 a 1)</li> </ul>
30		<b>Obs:</b>

## MANUSCRIPT FORMATTING GUIDELINES – FRONTIERS IN PSYCHOLOGY

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<sup>1</sup> Department of Excellence, International University of Science, New York, NY, United States.

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Example: Max Maximus

maximus@iuscience.edu

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Example Statement on: Markram K and Markram H (2010) The Intense World Theory – a unifying theory of the neurobiology of autism. *Front. Hum. Neurosci.* 4:224. doi: 10.3389/fnhum.2010.00224

*Autism spectrum disorders are a group of neurodevelopmental disorders that affect up to 1 in 100 individuals. People with autism display an array of symptoms encompassing emotional processing, sociability, perception and memory, and present as uniquely as the individual. No theory has suggested a single underlying neuropathology to account for these diverse symptoms. The Intense World Theory, proposed here, describes a unifying pathology producing the wide spectrum of manifestations observed in autists. This theory focuses on the neocortex, fundamental for higher cognitive functions, and the limbic system, key for processing emotions and social signals. Drawing on discoveries in animal models and neuroimaging studies in individuals with autism, we propose how a combination of genetics, toxin exposure and/or environmental stress could produce hyper-reactivity and hyper-plasticity in the microcircuits involved with perception, attention, memory and emotionality. These hyper-functioning circuits will eventually come to dominate their neighbors, leading to hyper-sensitivity to incoming stimuli, over-specialization in tasks and a hyper-preference syndrome. We make the case that this theory of enhanced brain function in autism explains many of the varied past results and resolves conflicting findings and views and makes some testable experimental predictions.*

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Level Contast ratio 4.6:1	AA
------------------------------	----

Level Contast ratio 9.5:1	AA
------------------------------	----

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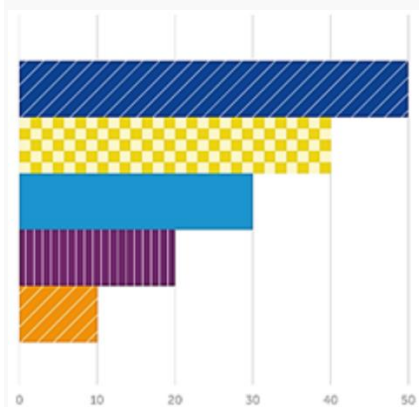
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- [Color Safe](#)

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#### **4.1. Science, Engineering and Humanities and Sustainability Journals**

[\(Full list of journals and corresponding reference style\)](#)

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- For works by a single author, include the surname, followed by the year.
- For works by two authors, include both surnames, followed by the year.
- For works by more than two authors, include only the surname of the first author followed by et al., followed by the year.
- For Humanities and Social Sciences articles, include the page numbers.

##### **4.1.2. Reference List**

###### **ARTICLE IN A PRINT JOURNAL**

Sondheimer, N., and Lindquist, S. (2000). Rnq1: an epigenetic modifier of protein function in yeast. *Mol. Cell.* 5, 163-172.

**ARTICLE IN AN ONLINE JOURNAL**

Tahimic, C.G.T., Wang, Y., Bikle, D.D. (2013). Anabolic effects of IGF-1 signaling on the skeleton. *Front. Endocrinol.* 4:6. doi: 10.3389/fendo.2013.00006

**ARTICLE OR CHAPTER IN A BOOK**

Sorenson, P. W., and Caprio, J. C. (1998). "Chemoreception," in *The Physiology of Fishes*, ed. D. H. Evans (Boca Raton, FL: CRC Press), 375-405.

**BOOK**

Cowan, W. M., Jessell, T. M., and Zipursky, S. L. (1997). *Molecular and Cellular Approaches to Neural Development*. New York: Oxford University Press.

**ABSTRACT**

Hendricks, J., Applebaum, R., and Kunkel, S. (2010). A world apart? Bridging the gap between theory and applied social gerontology. *Gerontologist* 50, 284-293. Abstract retrieved from Abstracts in Social Gerontology database. (Accession No. 50360869)

**WEBSITE**

World Health Organization. (2018). E. coli. <https://www.who.int/news-room/fact-sheets/detail/e-coli> [Accessed March 15, 2018].

**PATENT**

Marshall, S. P. (2000). Method and apparatus for eye tracking and monitoring pupil dilation to evaluate cognitive activity. U.S. Patent No 6,090,051. Washington, DC: U.S. Patent and Trademark Office.

**DATA**

Perdiguero P, Venturas M, Cervera MT, Gil L, Collada C. Data from: Massive sequencing of *Ulms minor*'s transcriptome provides new molecular tools for a genus under the constant threat of Dutch elm disease. Dryad Digital Repository. (2015) <http://dx.doi.org/10.5061/dryad.ps837>



## THESES AND DISSERTATIONS

Smith, J. (2008) Post-structuralist discourse relative to phenomenological pursuits in the deconstructivist arena. [dissertation/master's thesis]. [Chicago (IL)]: University of Chicago

## PREPRINT

Smith, J. (2008). Title of the document. Preprint repository name [Preprint]. Available at: <https://persistent-url> (Accessed March 15, 2018).

### 4.1.3. Resources

[Chicago Manual of Style](#)

[Frontiers Science Endnote Style](#)

[Frontiers Science, Engineering and Humanities Bibstyle](#)

## 4.2. Health, Physics, and Mathematics Journals

[\(Full list of journals and corresponding reference style\)](#)

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### 4.2.2. Reference List

## ARTICLE IN A PRINT JOURNAL

Sondheimer N, Lindquist S. Rnq1: an epigenetic modifier of protein function in yeast. *Mol Cell* (2000) 5:163-72.

## ARTICLE IN AN ONLINE JOURNAL

Tahimic CGT, Wang Y, Bikle DD. Anabolic effects of IGF-1 signaling on the skeleton. *Front Endocrinol* (2013) 4:6. doi: 10.3389/fendo.2013.00006

## ARTICLE OR CHAPTER IN A BOOK

Sorenson PW, Caprio JC. "Chemoreception,". In: Evans DH, editor. *The Physiology of Fishes*. Boca Raton, FL: CRC Press (1998). p. 375-405.

## **BOOK**

Cowan WM, Jessell TM, Zipursky SL. *Molecular and Cellular Approaches to Neural Development*. New York: Oxford University Press (1997). 345 p.

## **ABSTRACT**

Christensen S, Oppacher F. An analysis of Koza's computational effort statistic for genetic programming. In: Foster JA, editor. *Genetic Programming. EuroGP 2002: Proceedings of the 5th European Conference on Genetic Programming; 2002 Apr 3–5; Kinsdale, Ireland*. Berlin: Springer (2002). p. 182–91.

## **WEBSITE**

World Health Organization. E. coli (2018). <https://www.who.int/news-room/factsheets/detail/e-coli> [Accessed March 15, 2018].

## **PATENT**

Pagedas AC, inventor; Ancel Surgical R&D Inc., assignee. Flexible Endoscopic Grasping and Cutting Device and Positioning Tool Assembly. United States patent US 20020103498 (2002).

## **DATA**

Perdiguero P, Venturas M, Cervera MT, Gil L, Collada C. Data from: Massive sequencing of *Ulms minor*'s transcriptome provides new molecular tools for a genus under the constant threat of Dutch elm disease. Dryad Digital Repository. (2015) <http://dx.doi.org/10.5061/dryad.ps837>

## **THESES AND DISSERTATIONS**

Smith, J. (2008) Post-structuralist discourse relative to phenomenological pursuits in the deconstructivist arena. [dissertation/master's thesis]. [Chicago (IL)]: University of Chicago

## **PREPRINT**

Smith, J. Title of the document. Preprint repository name [Preprint] (2008). Available at: <https://persistent-url> (Accessed March 15, 2018).

## **CNS & Neurological Disorders - Drug Targets**

**Formerly: Current Drug Targets - CNS & Neurological Disorders**

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- Keywords
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- List of Abbreviations (if any)
- Consent for Publication
- Conflict of Interest
- Acknowledgements
- References
- Appendices
- Figures/Illustrations (if any)
- Chemical Structures (if any)
- Tables (if any)
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